

NATIONAL PENSION SYSTEM TRUST
ADITYA BIRLA SUN LIFE PENSION MANAGEMENT LIMITED
NPS TRUST A/C ADITYA BIRLA SUN LIFE PENSION MANAGEMENT LIMITED - SCHEME A TIER I

BALANCE SHEET AT THE PERIOD END 30th Sept 2021

Particulars	Schedule	(In Rs.)	
		30th Sept 2021	30th Sept 2020
Liabilities			
Unit Capital	1	1,13,75,830	79,15,466
Reserves and Surplus	2	31,74,643	17,65,526
Current Liabilities and Provisions	3	3,115	52,583
Total		1,45,53,588	97,33,576
Assets			
Investments	4	1,44,70,939	96,26,767
Other Current Assets	5	82,649	1,06,809
Total		1,45,53,588	97,33,576
(a) Net assets as per Balance Sheets		1,45,50,474	96,80,993
(b) Number of units outstanding		11,37,583	7,91,547

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REVENUE ACCOUNT AT THE PERIOD END 30th Sept 2021

Particulars	30th Sept 2021	(In Rs.) 30th Sept 2020
Income		
Dividend	38,339	-
Interest	93,551	3,025
Profit on sale/redemption of investments	3,19,027	94,949
Unrealised gain on appreciation in investments	(99,923)	32,819
Total Income (A)	3,50,994	1,30,792
Expenses and losses		
Management fees (including service tax)	814	521
NPS Trust Fees	345	221
Custodian fees	80	-
Depository and settlement charges	7	59
CRA fees	3,814	3,814
Less: Amount recoverable by sale of units on account	(3,814)	(3,814)
Other Expenses	-	164
Total Expenditure (B)	1,246	964
Surplus/(Deficit) for the year (A-B)	3,49,748	1,29,828
Less: Amount transferred to Unrealised appreciation	(99,923)	32,819
Less: Amount transferred to General Reserve	4,49,671	97,009
Amount carried forward to Balance Sheet	-	-

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET FOR THE PERIOD ENDED 30th Sept 2021

Schedule 1 - Unit Capital	30th Sept 2021	30th Sept 2020
Outstanding at the beginning of the year	1,00,03,545	67,14,336
Add: Units issued during the year	29,84,242	20,32,466
Less: Units redeemed during the year	16,11,957	8,31,336
Outstanding at the end of the year (₹)	1,13,75,830	79,15,466
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	10,00,354	6,71,434
Add: Units issued during the year	2,98,424	2,03,247
Less: Units redeemed during the year	1,61,196	83,134
Outstanding Units at the end of the year	11,37,583	7,91,547
Schedule 2 - Reserves and Surplus		
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	14,76,734	7,13,295
Add: Premium on Units issued	7,87,648	4,35,538
Less: Premium on Units redeemed	4,28,574	1,77,128
Add: Transfer from General Reserve	-	-
Closing Balance	18,35,807	9,71,706
General Reserve		
Opening Balance	8,51,182	6,62,071
Add: Transfer from Revenue Account	4,49,671	97,009
Less: Transfer to Unit Premium Reserve	-	-
Closing Balance	13,00,853	7,59,080
Unrealised Appreciation Account		
Opening Balance	1,37,906	1,921
Add: Adjustment for Previous years unrealised appreciation reserve	-	-
Add/(Less): Transfer from/(to) Revenue Account	(99,923)	32,819
Closing Balance	37,983	34,740
Total	31,74,643	17,65,526

Schedule 3 - Current Liabilities and Provisions**Current Liabilities**

Sundry Creditors for expenses	526	621
Redemption Payable	2,589	51,962
Total	3,115	52,583

Schedule 4 - Investments**Investments (Long Term and Short Term)**

Only)/REITs/INVITs/Asset Backed Securities/Commercial mortgage based Securities or Residential mortgage based securities	56,57,282	-
Basel III Tier I bonds	21,07,227	20,91,206
Others - Mutual Fund Units	67,06,431	75,35,561
Total	1,44,70,939	96,26,767

Schedule 5 - Other Current Assets

Balances with bank in current account	6,860	31,020
Outstanding and accrued income	75,789	75,789
Total	82,649	1,06,809

NAME OF PENSION FUND
SCHEME NAME
MONTH

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT LIMITED
A-TIER I
Sep-21

ISIN No.	Name of the Instrument	Industry	Quantity	Market Value	% of Portfolio	Ratings
INE062A08199	9.45% SBI 22-March-2099 BASEL III (CALL OPT 22-MARCH-2024)	Monetary Intermediation of Cor	1	10,56,710	7.26%	CRISIL AAA
INE090A08UB4	9.15% ICICI 20-March-2099 BASEL III (CALL OPT 20-JUNE-2023)	Monetary Intermediation of Cor	1	10,50,517	7.22%	CRISIL AAA
INE219X23014	India Grid Trust - InvITs	Transmission of electric energy	10,206	13,77,300	9.47%	CRISIL AAA
INE0GGX23010	POWERGRID Infrastructure Investment Trust	Transmission of Electric Energy	12,100	14,57,566	10.02%	[ICRA]AAA
INE041025011	Embassy Office Parks REIT	Real estate activities with own o	3,800	12,88,466	8.86%	[ICRA]AAA
INE0CCU25019	Mindspace Business Parks REIT	Real estate activities with own o	5,000	15,33,950	10.54%	[ICRA]AAA
	Subtotal A			77,64,509	53.36%	

	Money Market Instruments:-		Market Value	% of Portfolio	Ratings
	- Treasury Bills		Nil	-	
	- Money Market Mutual Funds		67,06,431	46.09%	
	- Certificate of Deposits / Commercial Papers		Nil	-	
	- Application Pending Allotment		Nil	-	
	- Bank Fixed Deposits (< 1 Year)		Nil	-	
	- Cash/ Cash Equivalent & Net Current Assets		6,861	0.05%	
	- Other Current Assets (Accrued Interest)		75,789	0.52%	
	Net Current assets		(3,117)	-0.02%	
	Sub Total B		67,85,964	46.64%	
	GRAND TOTAL (sub total A + sub total B)		1,45,50,473	100.00%	

Average Maturity of Portfolio (in yrs)	2.10
Modified Duration (in yrs)	1.82
Yield to Maturity (%) (annualised)(at market price)	6.40%
Net Asset Value	12.7907
Net asset value last month	12.7542
Total investment in Infrastructure	56,57,282
Total outstanding exposure to derivatives	Nil
Total NPA provided for	Nil

CREDIT RATING EXPOSURE			Market Value	% of Portfolio	Ratings
Securities					
Central Govt. Securities					
State Development Loans					
AAA / Equivalent					
A1+ (For Commercial Paper)					
AA+ / Equivalent					
			77,64,509	53.4%	
			-		
			-		