

NATIONAL PENSION SYSTEM TRUST

NPS TRUST A/C

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME A TIER I
UN-AUDITED REVENUE ACCOUNT FOR THE HALF YEAR ENDED 30th Sept 2017

| Particulars | Schedule | Scheme A Tier I Sept 30th 2017 |
|--|----------|-----------------------------------|
| Income | | |
| Dividend | | - |
| Profit on sale/redemption of investments | | 12 |
| Unrealised gain on appreciation in investments | | 606 |
| Total Income (A) | | 617 |
| Expenses & Losses | | |
| Loss on sale/redemption of investments | | - |
| Unrealised loss on appreciation in investments | | - |
| Management fees (including service tax) | | 2 |
| NPS Trust fees | | - |
| Custodian fees | | - |
| Brokerage | | - |
| CRA fees | | |
| Less : Amount recoverable on sale of units on account of CRA Charges | | |
| Depository and settlement charges | | |
| Total Expenditure (B) | | 2 |
| Surplus/(Deficit) for the year (A-B = C) | | 615 |
| Less: Amount transferred to Unrealised appreciation account | | - |
| Less: Amount transferred to General Reserve | | - |
| Amount carried forward to Balance Sheet | | 615 |

NATIONAL PENSION SYSTEM TRUST**NPS TRUST A/C**ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME A TIER I
UN-AUDITED BALANCE SHEET AS AT SEPT 30th , 2017**(in Rs.)**

| Particulars | Schedule | Scheme A Tier I Sept 30th 2017 |
|---|----------|-----------------------------------|
| Liabilities | | |
| Unit Capital | 1 | 61,780 |
| Reserve & Surplus | 2 | 1,478 |
| Current Liabilities | 3 | 2 |
| Total | | 63,260 |
| Assets | | |
| Investment | 4 | 61,967 |
| Deposits | 5 | - |
| Other Current Assets | 6 | 1,292 |
| Total | | 63,260 |
| (a) Net asset as per Balance Sheet (Schedule 4+5+6-3) | | 63,257 |
| (b) Number of units outstanding | | 6,178 |
| ©NAV per unit (a)(b) (Rs.) | | 10.2392 |

The notes referred to above form an integrat part of the financial Statements.

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Schedules forming part of the un-audited half yearly financial statements.

Schedule 1: Unit capital

| Particulars | Scheme A Tier I |
|--|-----------------|
| | 30th Sept 2017 |
| Initial capital | |
| Unit Capital | |
| Outstanding at the beginning of the period | 0 |
| Add :Units issued during the period | |
| Less: Units redeemed during the period | |
| Outstanding at the end of the period | 61,780 |
| (Face Value of Rs.10/- each unit, fully paid up) | 10.0000 |
| Outstanding units at the beginning of the period | |
| Add :Units issued during the period | |
| Less: Units redeemed during the period | |
| Outstanding Units at the end of the period | 6,178 |

Schedule 2: Reserves and surplus

| Particulars | Scheme A Tier I |
|--|-----------------|
| | 30th Sept 2017 |
| Unit premium reserve | |
| Opening balance | |
| Add: Premium on Units issued | |
| Less: Premium on Units redeemed | |
| Closing balance | 863 |
| General Reserve | |
| Opening balance | |
| Add/(Less): Transfer from/(to) Revenue Account | |
| Closing balance | |
| Appropriation Account | |
| Surplus/(Deficit) Carried forward | 615 |
| Closing balance | 615 |
| Unrealised Appreciation Reserve | |
| Opening balance | |
| Add/(Less): Transfer from/(to) Revenue Account | |
| Closing balance | |
| Total | 1,478 |

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Schedules forming part of the un-audited half yearly financial statements.

Schedule 3: Current liabilities and provisions

| Particulars | Scheme A Tier I |
|---|-----------------|
| | 30th Sept 2017 |
| Current liabilities & Provisions | |
| Sundry creditors for expenses | |
| Redemption Payable | - |
| TDS Payable | |
| Contracts for purchase of investments | - |
| NPS charges payable | 1 |
| Management fees payable | 1 |
| Amount payable to other schemes | |
| Provisions* | |
| Total | 2 |

* The Provision referred above is towards TDS payable on Custodian fees.

Schedule 4: Investments (Long Term and Short Term)

| Particulars | Scheme A Tier I |
|-------------------|-----------------|
| | 30th Sept 2017 |
| Mutual Fund Units | 61,967 |
| Total | 61,967 |

Schedule 5: Deposits

| Particulars | Scheme A Tier I |
|-------------------------------|-----------------|
| | 30th Sept 2017 |
| Deposits with scheduled banks | |
| Total | 0 |

Schedule 6: Other current assets

| Particulars | Scheme A Tier I |
|---|-----------------|
| | 30th Sept 2017 |
| Balances with banks in current account | 1,291 |
| Contracts for sale of investments | - |
| Brokerage receivable from PFM | |
| Application money pending allotment | |
| Others - Dividend receivable | |
| Sundry Debtors - Margin Money for Investments | |
| Total | 1,291 |

NAME OF PENSION FUND
SCHEME NAME
MONTH

BIRLA SUN LIFE PENSION MANAGEMENT LIMITED
A-TIER I
Sep-17

| Name of the Instrument | ISIN No. | Industry | Quantity | Market Value | % of Portfolio | Ratings |
|------------------------|----------|----------|----------|--------------|----------------|---------|
| | | | | - | - | |
| TOTAL | | | | - | - | |

| Money Market Instruments:- | | | | Market Value | % of Portfolio | Ratings |
|---|--|--|--|---------------|----------------|---------|
| - Treasury Bills | | | | Nil | - | |
| - Money Market Mutual Funds | | | | 61,967 | 97.96% | |
| - Certificate of Deposits / Commercial Papers | | | | Nil | - | |
| - Application Pending Allotment | | | | Nil | - | |
| - Bank Fixed Deposits (< 1 Year) | | | | Nil | - | |
| - Cash/ Cash Equivalent & Net Current Assets | | | | 1,290 | 2.04% | |
| - Other Current Assets | | | | Nil | - | |
| | | | | | | |
| GRAND TOTAL | | | | 63,257 | 100.00% | |