

NATIONAL PENSION SYSTEM TRUST

NPS TRUST A/C

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME A TIER I

SCHEDULES FORMING PART OF THE UN-AUDITED HALF YEARLY FINANCIAL STATEMENTS AS ON 30TH SEPTEMBER 2019

Schedule 1: Unit capital

Amount in ₹

| Particulars | Scheme A Tier I | |
|---|---------------------------------|---------------------------------|
| | 30 th September 2019 | 28 th September 2018 |
| Initial capital | | |
| Unit Capital | | |
| Outstanding at the beginning of the period | 58,52,347.91 | 10,81,211.27 |
| Add :Units issued during the period | 51,52,803.78 | 41,97,816.97 |
| Less: Units redeemed during the period | 56,05,711.41 | 18,08,880.49 |
| Outstanding at the end of the period | 53,99,440.28 | 34,70,147.75 |
| (Face Value of Rs.10/- each unit, fully paid up) | 10.00 | 10.00 |
| Outstanding units at the beginning of the period | 5,85,234.79 | 1,08,121.13 |
| Add :Units issued during the period | 5,15,280.38 | 4,19,781.70 |
| Less: Units redeemed during the period | 5,60,571.14 | 1,80,888.05 |
| Outstanding Units at the end of the period | 5,39,944.03 | 3,47,014.78 |

Schedule 2: Reserves and surplus

| Particulars | Scheme A Tier I | |
|--|---------------------------------|---------------------------------|
| | 30 th September 2019 | 28 th September 2018 |
| Unit premium reserve | | |
| Opening balance | 5,24,761.00 | 48,234.66 |
| Add: Premium on Units issued | 8,03,541.97 | 3,36,461.55 |
| Less: Premium on Units redeemed | 8,72,058.63 | 1,45,969.12 |
| Closing balance | 4,56,244.34 | 2,38,727.09 |
| Appropriation Account | | |
| Surplus/(Deficit) Carried forward | 4,98,479.27 | 96,423.36 |
| Closing balance | 4,98,479.27 | 96,423.36 |
| Unrealised Appreciation Reserve | | |
| Opening balance | - | - |
| Add/(Less): Transfer from/(to) Revenue Account | | |
| Closing balance | - | - |
| Total | 9,54,724 | 3,35,150 |

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Schedule 3: Current liabilities and provisions

Amount in ₹

| Particulars | Scheme A Tier I | |
|---|---------------------------------|---------------------------------|
| | 30 th September 2019 | 28 th September 2018 |
| Current liabilities & Provisions | | |
| Redemption Payable | 0.02 | 2,643.84 |
| NPS charges payable | 52.61 | 35.68 |
| Management fees payable | 189.31 | 84.99 |
| Total | 241.94 | 2,764.51 |

* The Provision referred above is towards TDS payable on Custodian fees.

Schedule 4: Investments (Long Term and Short Term)

| Particulars | Scheme A Tier I | |
|-------------------|---------------------------------|---------------------------------|
| | 30 th September 2019 | 28 th September 2018 |
| Mutual Fund Units | 63,48,434.80 | 38,04,320.68 |
| Total | 63,48,434.80 | 38,04,320.68 |

Schedule 5: Deposits

| Particulars | Scheme A Tier I | |
|-------------------------------|---------------------------------|---------------------------------|
| | 30 th September 2019 | 28 th September 2018 |
| Deposits with scheduled banks | - | - |
| Total | - | - |

Schedule 6: Other current assets

| Particulars | Scheme A Tier I | |
|--|---------------------------------|---------------------------------|
| | 30 th September 2019 | 28 th September 2018 |
| Balances with banks in current account | 5,971.03 | 3,742.03 |
| Total | 5,971.03 | 3,742.03 |

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UN-AUDITED REVENUE ACCOUNT FOR THE HALF YEAR ENDED 30TH SEPTEMBER 2019

Amount in ₹

| Particulars | Schedule | Scheme A Tier I | |
|---|----------|---------------------------------|---------------------------------|
| | | 30 th September 2019 | 28 th September 2018 |
| Income | | | |
| Dividend | | - | - |
| Profit on sale/redemption of investments | | 2,70,635 | 64,979 |
| Unrealised gain on appreciation in investments | | - | 16,493 |
| Total Income (A) | | 2,70,635 | 81,472 |
| Expenses & Losses | | | |
| Unrealised loss on appreciation in investments | | 59,423 | - |
| Management fees (including service tax) | | 379 | 135 |
| NPS Trust fees | | 52 | 57 |
| Total Expenditure (B) | | 59,854 | 192 |
| Surplus/(Deficit) for the year (A-B = C) | | 2,10,781 | 81,280 |
| Net Surplus/Deficit brought forward from previous year | | 2,87,698 | 15,143 |
| Less: Amount transferred to Unrealised appreciation account | | - | - |
| Less: Amount transferred to General Reserve | | - | - |
| Amount carried forward to Balance Sheet | | 4,98,479 | 96,423 |

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UN-AUDITED BALANCE SHEET AS AT 30TH SEPTEMBER 2019

Amount in ₹

| Particulars | Schedule | Scheme A Tier I | |
|---|----------|---------------------------------|---------------------------------|
| | | 30 th September 2019 | 28 th September 2018 |
| Liabilities | | | |
| Unit Capital | 1 | 53,99,440 | 34,70,148 |
| Reserve & Surplus | 2 | 9,54,724 | 3,35,150 |
| Current Liabilities | 3 | 242 | 2,765 |
| Total | | 63,54,406 | 38,08,063 |
| Assets | | | |
| Investment | 4 | 63,48,435 | 38,04,321 |
| Deposits | 5 | - | - |
| Other Current Assets | 6 | 5,971 | 3,742 |
| Total | | 63,54,406 | 38,08,063 |
| (a) Net asset as per Balance Sheet (Schedule 4+5+6-3) | | 63,54,164 | 38,05,298 |
| (b) Number of units outstanding | | 5,39,944 | 3,47,015 |
| ©NAV per unit (a)(b) (Rs.) | | 11.7682 | 10.9658 |

The notes referred to above form an integral part of the financial Statements.

NAME OF PENSION FUND
SCHEME NAME
MONTH

BIRLA SUN LIFE PENSION MANAGEMENT LIMITED
A-TIER I
30th September 2019

Amount in ₹

| Name of the Instrument | ISIN No. | Industry | Quantity | Market Value | % of Portfolio | Ratings |
|------------------------|----------|----------|----------|--------------|----------------|---------|
| | | | | | | |
| Total | | | | - | - | |

| Money Market Instruments:- | | | | Market Value | % of Portfolio | Ratings |
|---|--|--|--|---------------------|----------------|---------|
| - Treasury Bills | | | | Nil | - | |
| - Money Market Mutual Funds | | | | 63,48,434.80 | 99.91% | |
| - Certificate of Deposits / Commercial Papers | | | | Nil | - | |
| - Application Pending Allotment | | | | Nil | - | |
| - Bank Fixed Deposits (< 1 Year) | | | | Nil | - | |
| - Cash/ Cash Equivalent & Net Current Assets | | | | 5,971.62 | 0.09% | |
| - Other Current Assets | | | | -242.53 | 0.00% | |
| | | | | | | |
| GRAND TOTAL | | | | 63,54,163.89 | 100.00% | |

| | |
|---|---|
| Average Maturity of Portfolio (in yrs) | - |
| Modified Duration (in yrs) | - |
| Yield to Maturity (%) (annualised)(at market price) | - |

| CREDIT RATING EXPOSURE | | | | | | |
|----------------------------|--|--|--|--------------|----------------|---------|
| Securities | | | | Market Value | % of Portfolio | Ratings |
| Central Govt. Securities | | | | | | |
| State Development Loans | | | | | | |
| AAA / Equivalent | | | | | - | |
| A1+ (For Commercial Paper) | | | | | - | |
| AA+ / Equivalent | | | | | - | |
| AA / Equivalent | | | | | | |
| AA- / Equivalent | | | | | | |
| A+ / Equivalent | | | | | | |
| A / Equivalent | | | | | | |
| A- / Equivalent | | | | | | |
| BBB+ / Equivalent | | | | | | |
| BBB / Equivalent | | | | | | |