

**NATIONAL PENSION SYSTEM TRUST**

**NPS TRUST A/C**

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME C TIER I  
UN-AUDITED REVENUE ACCOUNT FOR THE HALF YEAR ENDED 30th Sept 2017

Particulars	Schedule	Scheme C Tier I Sept 30th 2017
<b>Income</b>		
Dividend		-
Interest		1,61,918
Profit on sale/redemption of investments		11,706
Unrealised gain on appreciation in investments		29,211
<b>Total Income (A)</b>		<b>2,02,835</b>
<b>Expenses &amp; Losses</b>		
Unrealised loss on appreciation in investments		-
Management fees (including service tax)		129
NPS Trust fees		129
Custodian fees		32
CRA fees		
Less : Amount recoverable on sale of units on account of CRA Charges		
Depository and settlement charges		
<b>Total Expenditure (B)</b>		<b>289</b>
<b>Surplus/(Deficit) for the year (A-B = C)</b>		<b>2,02,546</b>
Less: Amount transferred to Unrealised appreciation account		-
Less: Amount transferred to General Reserve		-
<b>Amount carried forward to Balance Sheet</b>		<b>2,02,546</b>

**NATIONAL PENSION SYSTEM TRUST****NPS TRUST A/C**ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME C TIER I  
UN-AUDITED BALANCE SHEET AS AT SEPT 30th , 2017**(in Rs.)**

Particulars	Schedule	Scheme C Tier I Sept 30th 2017
<b>Liabilities</b>		
Unit Capital	1	59,89,059
Reserve & Surplus	2	4,49,613
Current Liabilities	3	7,485
<b>Total</b>		<b>64,46,157</b>
<b>Assets</b>		
Investment	4	61,93,977
Deposits	5	-
Other Current Assets	6	2,52,180
<b>Total</b>		<b>64,46,157</b>
(a) Net asset as per Balance Sheet (Schedule 4+5+6-3)		64,38,671
(b) Number of units outstanding		5,98,906
©NAV per unit (a)(b) (Rs.)		10.6007

The notes referred to above form an integrat part of the financial Statements.

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ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME C TIER I

Schedules forming part of the un-audited half yearly financial statements.

**Schedule 1: Unit capital**

Particulars	Scheme C Tier I
	30th Sept 2017
<b>Initial capital</b>	
<b>Unit Capital</b>	
Outstanding at the beginning of the period	0
Add :Units issued during the period	
Less: Units redeemed during the period	
Outstanding at the end of the period	59,89,059
(Face Value of Rs.10/- each unit, fully paid up)	10.0000
Outstanding units at the beginning of the period	
Add :Units issued during the period	
Less: Units redeemed during the period	
Outstanding Units at the end of the period	5,98,906

**Schedule 2: Reserves and surplus**

Particulars	Scheme C Tier I
	30th Sept 2017
<b>Unit premium reserve</b>	
Opening balance	
Add: Premium on Units issued	
Less: Premium on Units redeemed	
Closing balance	2,47,067
<b>General Reserve</b>	
Opening balance	
Add/(Less): Transfer from/(to) Revenue Account	
Closing balance	
<b>Appropriation Account</b>	
Surplus/(Deficit) Carried forward	202546
Closing balance	202546
<b>Unrealised Appreciation Reserve</b>	
Opening balance	
Add/(Less): Transfer from/(to) Revenue Account	
Closing balance	
<b>Total</b>	4,49,613

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ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME C TIER I

Schedules forming part of the un-audited half yearly financial statements.

**Schedule 3: Current liabilities and provisions**

Particulars	Scheme C Tier I
	30th Sept 2017
<b>Current liabilities &amp; Provisions</b>	
Sundry creditors for expenses	-
Redemption Payable	7,196
TDS Payable	
Contracts for purchase of investments	-
NPS charges payable	129
Management fees payable	129
Custodial charges payable	32
Amount payable to other schemes	
Provisions*	
<b>Total</b>	<b>7,485</b>

\* The Provision referred above is towards TDS payable on Custodian fees.

**Schedule 4: Investments (Long Term and Short Term)**

Particulars	Scheme C Tier I
	30th Sept 2017
Investments - Non Convertible Debentures taxable	57,95,131
Others - Mutual Fund Units	3,98,846
<b>Total</b>	<b>61,93,977</b>

**Schedule 5: Deposits**

Particulars	Scheme C Tier I
	30th Sept 2017
Deposits with scheduled banks	
<b>Total</b>	<b>0</b>

**Schedule 6: Other current assets**

Particulars	Scheme C Tier I
	30th Sept 2017
Balances with banks in current account	9,070
Contracts for sale of investments	-
Brokerage receivable from PFM	
Application money pending allotment	
Service tax asset	
Interest receivable	-
Interest accrue but not due	2,43,110
Sundry Debtors - Margin Money for Investments	
<b>Total</b>	<b>2,52,180</b>

NAME OF PENSION FUND  
SCHEME NAME  
MONTH

BIRLA SUN LIFE PENSION MANAGEMENT LIMITED  
C-TIER I  
Sep-17

Name of the Instrument	ISIN No.	Industry	Quantity	Market Value	% of Portfolio	Ratings
Indiabulls Housing Finance	INE148I07GK5	Activities of specialized institutions granting credit for house purchases	1,000	10,40,002	16.15%	
L&T Infrastructure Finance Co. Ltd	INE691I07299	Other monetary intermediation services n.e.c.	1,400	14,35,133	22.29%	
Lic Housing Finance Ltd	INE115A07LU0	Activities of specialized institutions granting credit for house purchases	1	10,25,797	15.93%	
Power Finance Corporation	INE134E07075	Other Credit Granting	160	8,30,134	12.89%	
M M Financial Services Ltd.	INE774D08MA6	Other Financial Service Activities, Except Insurance And Pension Funding Activities, N.E.C.	600	6,23,474	9.68%	
Dewan Housing Finance Ltd	INE202B07HV0	Activities of specialized institutions granting credit for house purchases	800	8,40,590	13.06%	
<b>TOTAL</b>				<b>57,95,131</b>	<b>90.01%</b>	

Money Market Instruments:-				Market Value	% of Portfolio	Ratings
- Treasury Bills				Nil	-	
- Money Market Mutual Funds				3,98,846	6.19%	
- Certificate of Deposits / Commercial Papers				Nil	-	
- Application Pending Allotment				Nil	-	
- Bank Fixed Deposits (< 1 Year)				Nil	-	
- Cash/ Cash Equivalent & Net Current Assets				1,585	0.02%	
- Other Current Assets ( Accrued Interest )				2,43,110	3.78%	
<b>GRAND TOTAL</b>				<b>64,38,671</b>	<b>100.00%</b>	