

NATIONAL PENSION SYSTEM TRUST

NPS TRUST A/C

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER I  
UN AUDITED REVENUE ACCOUNT FOR THE HALF YEAR ENDED 31st MARCH 2021

Particulars	Schedule	Scheme E Tier I	
		31st Mar 2021	31st Mar 2020
<b>Income</b>			
Dividend		64,21,347	35,70,519
Miscellaneous income		72	-
Profit on sale/redemption of investments		78,71,812	1,13,58,101
Unrealised gain on appreciation in investments		23,37,77,160	-
<b>Total Income (A)</b>		<b>24,80,70,390</b>	<b>1,49,28,620</b>
<b>Expenses &amp; Losses</b>			
Loss on sale/redemption of investments		42,30,176	28,81,337
Unrealised loss on appreciation in investments		-	17,15,14,513
Management fees (including service tax)		62,906	34,360
NPS Trust fees		26,655	14,559
Stamp duty Charge		13,554	-
Custodian fees		15,568	10,335
Brokerage		-	-
CRA fees		7,70,543	6,28,158
Less : Amount recoverable on sale of units on account of CRA Charges		-7,70,543	-6,28,158
NSDL & SEBI charges		2,391	4,380
<b>Total Expenditure (B)</b>		<b>43,51,250</b>	<b>17,44,59,484</b>
<b>Surplus/(Deficit) for the year (A-B = C)</b>		<b>24,37,19,140</b>	<b>-15,95,30,864</b>
Less: Amount transferred to Unrealised appreciation account		23,37,77,160	-17,15,14,513
Less: Amount transferred to General Reserve		99,41,981	1,19,83,649
<b>Amount carried forward to Balance Sheet</b>		<b>-</b>	<b>-</b>

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UN AUDITED BALANCE SHEET AS AT 31st MARCH 2021

Particulars	Schedule	Scheme E Tier I	
		31st Mar 2021	31st Mar 2020
<b>Liabilities</b>			
Unit Capital	1	79,99,84,999	55,36,40,016
Reserve & Surplus	2	45,19,85,750	-3,98,57,317
Current Liabilities	3	28,34,603	10,22,701
<b>Total</b>		<b>1,25,48,05,351</b>	<b>51,48,05,400</b>
<b>Assets</b>			
Investment	4	1,25,13,57,442	51,32,19,415
Deposits	5	-	-
Other Current Assets	6	34,47,909	15,85,985
<b>Total</b>		<b>1,25,48,05,351</b>	<b>51,48,05,400</b>
(a) Net asset as per Balance Sheet (Schedule 4+5+6-3)		1,25,19,70,748	51,37,82,699
(b) Number of units outstanding		7,99,98,500	5,53,64,002

The notes referred to above form an integral part of the financial Statements.

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ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER I

SCHEDULES FORMING PART OF THE UN AUDITED HALF YEARLY FINANCIAL STATEMENTS AS ON 31st MARCH 2021

Schedule 1: Unit capital

Particulars	Schedule	Scheme E Tier I	
		31st Mar 2021	31st Mar 2020
<b>Initial capital</b>			
<b>Unit Capital</b>			
Outstanding at the beginning of the period		68,33,57,256	40,21,50,915
Add :Units issued during the period		19,70,94,283	27,72,30,009
Less: Units redeemed during the period		8,04,66,541	12,57,40,908
Outstanding at the end of the period		79,99,84,999	55,36,40,016
(Face Value of Rs.10/- each unit, fully paid up)		10.00	10.00
Outstanding units at the beginning of the period		6,83,35,726	4,02,15,091
Add :Units issued during the period		1,97,09,428	2,77,23,001
Less: Units redeemed during the period		80,46,654	1,25,74,091
Outstanding Units at the end of the period		7,99,98,500	5,53,64,002

Schedule 2: Reserves and surplus

Particulars	Schedule	Scheme E Tier I	
		31st Mar 2021	31st Mar 2020
<b>Unit premium reserve</b>			
Opening balance		10,89,57,858	6,30,77,595
Add: Premium on Units issued		9,67,11,704	5,54,92,403
Less: Premium on Units redeemed		3,90,62,384	2,65,40,523
Closing balance		16,66,07,177	9,20,29,473
<b>General Reserve</b>			
Opening balance		-42,01,190	-42,91,495
Add/(Less): Transfer from/(to) Revenue Account		99,41,981	1,19,83,649
Closing balance		57,40,791	76,92,154
<b>Unrealised Appreciation Reserve</b>			
Opening balance		4,58,60,622	3,19,35,569
Add/(Less): Transfer from/(to) Revenue Account		23,37,77,160	-17,15,14,513
Closing balance		27,96,37,782	-13,95,78,944
<b>Total</b>		45,19,85,750	-3,98,57,317

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**ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER I**

**SCHEDULES FORMING PART OF THE UN AUDITED HALF YEARLY FINANCIAL STATEMENTS AS ON 31st MARCH 2021**

**Schedule 3: Current liabilities and provisions**

Particulars	Schedule	Scheme E Tier I	
		31st Mar 2021	31st Mar 2020
<b>Current liabilities &amp; Provisions</b>			
Sundry creditors for expenses			
Redemption Payable		27,81,349	9,92,757
TDS Payable			
Contracts for purchase of investments		-	-
NPS charges payable		5,208	2,291
NSDL & SEBI Payable		5,099	4,415
Management fees payable		34,782	17,760
Custodial charges payable		8,166	5,477
Amount payable to other schemes			
Provisions*			
<b>Total</b>		<b>28,34,603</b>	<b>10,22,701</b>

\* The Provision referred above is towards TDS payable on Custodian fees.

**Schedule 4: Investments (Long Term and Short Term)**

Particulars	Schedule	Scheme E Tier I	
		31st Mar 2021	31st Mar 2020
Equity shares		1,17,55,82,127	49,24,79,414
Others - Mutual Fund Units		7,57,75,314	2,07,40,001
<b>Total</b>		<b>1,25,13,57,442</b>	<b>51,32,19,415</b>

**Schedule 5: Deposits**

Particulars	Schedule	Scheme E Tier I	
		31st Mar 2021	31st Mar 2020
Deposits with scheduled banks		-	-
<b>Total</b>		<b>-</b>	<b>-</b>

**Schedule 6: Other current assets**

Particulars	Schedule	Scheme E Tier I	
		31st Mar 2021	31st Mar 2020
Balances with banks in current account		32,46,610	15,18,785
Brokerage receivable from PFM		4,37,368	2,67,870
Receivable for sales invst		-4,37,368	-2,67,870
Others - Dividend receivable		2,01,299	67,199
<b>Total</b>		<b>34,47,909</b>	<b>15,85,985</b>

NAME OF PENSION FUND  
SCHEME NAME  
MONTH

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT LIMITED  
E-TIER I  
31st Mar 2021

ISIN No.	Name of the Instrument	Industry	Quantity	Market Value	% of Portfolio
INE002A01024	Reliance industry Right issue Party paid..	Manufacture of other petroleum n.e.c. (includes manufacture of petroleum jelly	2,732	29,79,246	0.24%
INE018A01030	LARSEN AND TOUBRO LIMITED	Other civil engineering projects n.e.c.	31,536	4,47,46,430	3.57%
INE123W01016	SBI LIFE INSURANCE COMPANY LIMITED	Life insurance	23,500	2,06,99,975	1.65%
INE376G01013	Biocon Limited	Manufacture of allopathic pharmaceutical preparations	22,500	92,00,250	0.73%
INE101A01026	MAHINDRA AND MAHINDRA LTD	Manufacture of motor vehicles n.e.c.	29,548	2,34,98,047	1.88%
INE917I01010	Bajaj Auto Limited	Manufacture of motorcycles, scooters, mopeds etc. and their engine	1,590	58,36,254	0.47%
INE029A01011	Bharat Petroleum Corporation Limited	Production of liquid and gaseous fuels, illuminating oils, lubricating oils or grease	38,660	1,65,44,547	1.32%
INE347G01014	PETRONET LNG LTD	Disrtibution and sale of gaseous fuels through mains	78,327	1,75,96,161	1.41%
INE296A01024	Bajaj Finance Limited	Other financial service activities, except insurance and pension funding activities	4,595	2,36,63,561	1.89%
INE280A01028	Titan Company Limited	Manufacture of jewellery of gold, silver and other precious or base metalmetal c	7,900	1,23,08,595	0.98%
INE752E01010	POWER GRID CORPORATION OF INDIA LIMITED	Transmission of electric energy	1,07,400	2,31,60,810	1.85%
INE089A01023	Dr. Reddy's Laboratories Limited	Manufacture of allopathic pharmaceutical preparations	3,195	1,44,28,620	1.15%
INE481G01011	UltraTech Cement Limited	Manufacture of clinkers and cement	4,305	2,90,06,875	2.32%
INE044A01036	SUN PHARMACEUTICALS INDUSTRIES LTD	Manufacture of allopathic pharmaceutical preparations	27,540	1,64,63,412	1.31%
INE467B01029	TATA CONSULTANCY SERVICES LIMITED	Writing , modifying, testing of computer program to meet the needs of a particu	15,809	5,02,38,631	4.01%
INE238A01034	AXIS BANK	Monetary intermediation of commercial banks, saving banks. postal savings ban	42,190	2,94,25,416	2.35%
INE001A01036	HOUSING DEVELOPMENT FINANCE CORPORATION	Activities of specialized institutions granting credit for house purchases that also	23,911	5,97,32,069	4.77%
INE239A01016	NESTLE INDIA LTD	Manufacture of milk-powder, ice-cream powder and condensed milk except bab	672	1,15,35,014	0.92%
INE154A01025	ITC LTD	Manufacture of cigarettes, cigarette tobacco	1,38,460	3,02,53,510	2.42%
INE059A01026	CIPLA LIMITED	Manufacture of allopathic pharmaceutical preparations	22,220	1,81,11,522	1.45%
INE733E01010	NTPC LIMITED	Electric power generation by coal based thermal power plants	47,300	50,39,815	0.40%
INE062A01020	STATE BANK OF INDIA	Monetary intermediation of commercial banks, saving banks. postal savings ban	87,680	3,19,41,824	2.55%
INE860A01027	HCL Technologies Limited	Writing , modifying, testing of computer program to meet the needs of a particu	33,150	3,25,74,848	2.60%
INE081A01012	TATA STEEL LIMITED.	Manufacture of hot-rolled and cold-rolled products of steel	3,200	25,97,920	0.21%
INE009A01021	INFOSYS LTD EQ	Writing , modifying, testing of computer program to meet the needs of a particu	73,310	10,02,91,746	8.01%
INE040A01034	HDFC BANK LTD	Monetary intermediation of commercial banks, saving banks. postal savings ban	65,532	9,78,81,872	7.82%
INE038A01020	HINDALCO INDUSTRIES LTD.	Manufacture of Aluminium from alumina and by other methods and products of	12,200	39,87,570	0.32%
INE079A01024	AMBUJA CEMENTS LTD	Manufacture of clinkers and cement	8,000	24,70,800	0.20%
INE002A01018	RELIANCE INDUSTRIES LIMITED	Manufacture of other petroleum n.e.c. (includes manufacture of petroleum jelly	51,687	10,35,34,230	8.27%
INES85B01010	MARUTI SUZUKI INDIA LTD.	Manufacture of passenger cars	2,956	2,02,75,795	1.62%
INE237A01028	KOTAK MAHINDRA BANK LIMITED	Monetary intermediation of commercial banks, saving banks. postal savings ban	21,342	3,74,12,526	2.99%
INE030A01027	HINDUSTAN UNILEVER LIMITED	Manufacture of soap all forms	20,947	5,09,32,631	4.07%
INE155A01022	TATA MOTORS LTD	Manufacture of commercial vehicles such as vans, lorries, over-the-road tractors	9,900	29,87,820	0.24%
INE021A01026	ASIAN PAINTS LTD.	Manufacture of paints and varnishes, enamels or lacquers	8,957	2,27,27,492	1.82%
INE263A01024	BHARAT ELECTRONICS LIMITED	Manufacture of data communications equipment, such as bridges, routers, and s	22,400	28,02,240	0.22%
INE397D01024	BHARTI AIRTEL LTD	Activities of maintaining and operating pageing, cellur and other teteconomica	62,562	3,23,63,323	2.58%
INE406A01037	AUROBINDO PHARMA Limited	Manufacture of allopathic pharmaceutical preparations	1,800	15,86,340	0.13%
INE066A01021	EICHER MOTORS LTD	Manufacture of motorcycles, scooters, mopeds etc. and their engine	3,940	1,02,59,563	0.82%
INE192A01025	Tata Consumer Products Limited	Processing and blending of tea including manufacture of instant tea	18,000	1,15,00,200	0.92%
INE090A01021	ICICI BANK LTD	Monetary intermediation of commercial banks, saving banks. postal savings ban	1,26,556	7,36,68,248	5.88%
INE016A01026	Dabur India Limited	Manufacture of hair oil, shampoo, hair dye etc. (includes manufacture of shamp	30,000	1,62,15,000	1.30%
INE129A01019	GAIL (INDIA) LIMITED	Disrtibution and sale of gaseous fuels through mains	1,64,340	2,22,68,070	1.78%
INE465A01025	Bharat Forge Limited	Forging, pressing, stamping and roll-forming of metal; powder metallurgy	28,500	1,69,84,575	1.36%
INE070A01015	Shree CEMENT LIMITED	Manufacture of clinkers and cement	470	1,38,48,738	1.11%
	Subtotal A			1,17,55,82,127	93.90%

Money Market Instruments:-	Market Value	% of Portfolio
- Treasury Bills	Nil	-
- Money Market Mutual Funds	7,57,75,314	6.05%
- Certificate of Deposits / Commercial Papers	Nil	-
- Application Pending Allotment	Nil	-
- Bank Fixed Deposits (< 1 Year)	Nil	-
- Cash/ Cash Equivalent & Net Current Assets	32,46,610	0.26%
- Other Current Assets ( Accrued Interest )	-	0.00%
Net Current assets	(26,33,304)	-0.21%
Sub Total B	7,63,88,620	6.10%
<b>GRAND TOTAL (sub total A + sub total B)</b>	<b>1,25,19,70,748</b>	<b>100.00%</b>

Average Maturity of Portfolio (in yrs)	-
Modified Duration (in yrs)	-
Yield to Maturity (%) (annualised)(at market price)	-
Net Asset Value	0.0605
Net asset value last month	15.4618
Total investment in Infrastructure	32,79,64,700
Total outstanding exposure to derivatives	Nil
Total NPA provided for	Nil