

NATIONAL PENSION SYSTEM TRUST

NPS TRUST A/C

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER I

UN-AUDITED REVENUE ACCOUNT FOR THE HALF YEAR ENDED 28th Sept 2018

Particulars	Schedule	Scheme E Tier I	Scheme E Tier I
		Sept 28th 2018	Sept 30th 2017
Income			
Dividend		1,811,229	45,342
Miscellaneous income		131	
Profit on sale/redemption of investments		335,928	14,130
Unrealised gain on appreciation in investments		3,667,444	-
Total Income (A)		5,814,732	59,472
Expenses & Losses			
Loss on sale/redemption of investments		538,013	611
Unrealised loss on appreciation in investments		-	46,517
Management fees (including service tax)		11,815	269
NPS Trust fees		5,006	269
Custodian fees		3,600	86
Brokerage		-	1,114
Depository and settlement charges		5	
Total Expenditure (B)		558,440	48,865
Surplus/(Deficit) for the year (A-B = C)		5,256,292	10,608
Net Surplus/Deficit brought forward from previous year		-2,983,701	
Less: Amount transferred to Unrealised appreciation account		-	-
Less: Amount transferred to General Reserve		-	-
Amount carried forward to Balance Sheet		2,272,590	10,608

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UN-AUDITED BALANCE SHEET AS AT SEPT 28th , 2018

Particulars	Schedule	Scheme E Tier I	Scheme E Tier I
		Sept 28th 2018	Sept 30th 2017
Liabilities			
Unit Capital	1	246,684,311	12,113,099
Reserve & Surplus	2	40,927,598	544,800
Current Liabilities	3	97,124	14,761
Total		287,709,033	12,672,660
Assets			
Investment	4	287,441,882	12,644,341
Deposits	5	-	-
Other Current Assets	6	267,151	28,319
Total		287,709,033	12,672,660
(a) Net asset as per Balance Sheet (Schedule 4+5+6-3)		287,611,909	12,657,899
(b) Number of units outstanding		24,668,431	1,211,310
©NAV per unit (a)(b) (Rs.)		11.6591	10.4498

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Schedules forming part of the un-audited half yearly financial statements as on 28th September 2018

Schedule 1: Unit capital

Particulars	Schedule	Scheme E Tier I	Scheme E Tier I
		28th Sept 2018	30th Sept 2017
Initial capital			
Unit Capital			
Outstanding at the beginning of the period		106619113.6	-
Add :Units issued during the period		152168424	-
Less: Units redeemed during the period		12103226.69	-
Outstanding at the end of the period		246,684,311	12,113,099
(Face Value of Rs.10/- each unit, fully paid up)		10.0000	10.0000
Outstanding units at the beginning of the period		10,661,911	-
Add :Units issued during the period		15,216,842	-
Less: Units redeemed during the period		1,210,323	-
Outstanding Units at the end of the period		24,668,431	1,211,310

Schedule 2: Reserves and surplus

Particulars	Schedule	Scheme E Tier I	Scheme E Tier I
		28th Sept 2018	30th Sept 2017
Unit premium reserve			
Opening balance		12810345	-
Add: Premium on Units issued		45777783	-
Less: Premium on Units redeemed		19933121	-
Closing balance		38655008	534,192
Appropriation Account			
Surplus/(Deficit) Carried forward		2272590	10608
Closing balance		2272590	10608
Total		40927598	544800

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ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER I

Schedules forming part of the un-audited half yearly financial statements as on 28th September 2018

Schedule 3: Current liabilities and provisions

Particulars	Schedule	Scheme E Tier I	Scheme E Tier I
		28th Sept 2018	30th Sept 2017
Current liabilities & Provisions			
Sundry creditors for expenses		-	-
Redemption Payable		84,887	12,412
Contracts for purchase of investments		-	1,726
NPS charges payable		3,038	269
Management fees payable		7,171	269
Custodial charges payable		1,325	86
Service Tax Payable - Custody fees		704	-
Total		97,124	14,761

Schedule 4: Investments (Long Term and Short Term)

Particulars	Schedule	Scheme E Tier I	Scheme E Tier I
		28th Sept 2018	30th Sept 2017
Equity shares		286,248,881	12,563,343
Others - Mutual Fund Units		1,193,001	80,999
Total		287,441,882	12,644,341

Schedule 5: Deposits

Particulars	Schedule	Scheme E Tier I	Scheme E Tier I
		28th Sept 2018	30th Sept 2017
Deposits with scheduled banks			
Total		0	0

Schedule 6: Other current assets

Particulars	Schedule	Scheme E Tier I	Scheme E Tier I
		28th Sept 2018	30th Sept 2017
Balances with banks in current account		131,491	15,556
Brokerage receivable from PFM		2,028	0.00
Others - Dividend receivable		133,632	12,763
Total		267,151	28,319

NAME OF PENSION FUND
SCHEME NAME
MONTH

BIRLA SUN LIFE PENSION MANAGEMENT LIMITED
E-TIER I
Sep-18

Name of the Instrument	ISIN No.	Industry	Quantity	Market Value	% of Portfolio	Ratings
LARSEN AND TOUBRO LIMITED	INE018A01030	Other civil engineering projects n.e.c.	10,966.00	13,949,848.60	4.85%	
MAHINDRA AND MAHINDRA LTD	INE101A01026	Manufacture of motor vehicles n.e.c.	13,498.00	11,621,103.10	4.04%	
ICICI BANK LTD	INE090A01021	Monetary intermediation of commercial banks, saving f	17,856.00	5,455,900.80	1.90%	
PETRONET LNG LTD	INE347G01014	Disrtibution and sale of gaseous fuels through mains	26,427.00	5,939,468.25	2.07%	
SUN PHARMACEUTICALS INDUSTRIES LTD	INE044A01036	Manufacture of allopathic pharmaceutical preparations	13,940.00	8,688,105.00	3.02%	
HERO MOTOCORP LIMITED	INE158A01026	Manufacture of motorcycles, scooters, mopeds etc. and	2,922.00	8,570,956.50	2.98%	
HOUSING DEVELOPMENT FINANCE CORPORATION	INE001A01036	Activities of specialized institutions granting credit for h	8,111.00	14,230,749.50	4.95%	
ITC LTD	INE154A01025	Manufacture of cigarettes, cigarette tobacco	49,360.00	14,696,940.00	5.11%	
ASIAN PAINTS LTD.	INE021A01026	Manufacture of paints and varnishes, enamels or lacqu	8,997.00	11,635,820.10	4.05%	
STATE BANK OF INDIA	INE062A01020	Monetary intermediation of commercial banks, saving f	22,230.00	5,902,065.00	2.05%	
GAIL (INDIA) LIMITED	INE129A01019	Disrtibution and sale of gaseous fuels through mains	24,820.00	9,406,780.00	3.27%	
HINDALCO INDUSTRIES LTD.	INE038A01020	Manufacture of Aluminium from alumina and by other	34,675.00	7,963,113.75	2.77%	
HINDUSTAN UNILEVER LIMITED	INE030A01027	Manufacture of soap all forms	9,072.00	14,591,404.80	5.07%	
HDFC BANK LTD	INE040A01026	Monetary intermediation of commercial banks, saving f	8,931.00	17,916,032.55	6.23%	
INFOSYS LTD EQ	INE009A01021	Writing , modifying, testing of computer program to me	20,060.00	14,644,803.00	5.09%	
KOTAK MAHINDRA BANK LIMITED	INE237A01028	Monetary intermediation of commercial banks, saving f	7,527.00	8,592,823.20	2.99%	
HCL Technologies Limited	INE860A01027	Writing , modifying, testing of computer program to me	4,970.00	5,406,366.00	1.88%	
EICHER MOTORS LTD	INE066A01013	Manufacture of commercial vehicles such as vans, lorrie	355.00	8,585,817.00	2.99%	
TECH MAHINDRA LIMITED	INE669C01036	Writing , modifying, testing of computer program to me	8,000.00	5,964,000.00	2.07%	
MARUTI SUZUKI INDIA LTD.	INE585B01010	Manufacture of passenger cars	2,241.00	16,466,755.95	5.73%	
YESBANK	INE528G01027	Monetary intermediation of commercial banks, saving f	15,770.00	2,896,160.50	1.01%	
NTPC LIMITED	INE733E01010	Electric power generation by coal based thermal power	33,800.00	5,639,530.00	1.96%	
RELJANCE INDUSTRIES LIMITED	INE002A01018	Manufacture of other petroleum n.e.c. (includes manu	16,417.00	20,651,765.15	7.18%	
CIPLA LIMITED	INE059A01026	Manufacture of allopathic pharmaceutical preparations	9,400.00	6,148,070.00	2.14%	
BHARTI AIRTEL LTD	INE397D01024	Activities of maintaining and operating pageing, cellur a	19,310.00	6,537,400.50	2.27%	
Motherson Sumi Systems Limited	INE775A01035	Manufacture of motor vehicle electrical equipment, suc	22,800.00	5,855,040.00	2.04%	
Zee Entertainment	INE256A01028	Television programming and broadcasting activities	19,435.00	8,524,191.00	2.96%	
IndusInd Bank Limited	INE095A01012	Monetary intermediation of commercial banks, saving f	5,030.00	8,500,951.50	2.96%	
AMBUJA CEMENTS LTD	INE079A01024	Manufacture of clinkers and cement	50,400.00	11,266,920.00	3.92%	
Total				286,248,881.75	99.53%	

Money Market Instruments:-		Market Value	% of Portfolio	Ratings
- Treasury Bills		Nil	-	
- Money Market Mutual Funds		1,193,000.81	0.41%	
- Certificate of Deposits / Commercial Papers		Nil	-	
- Application Pending Allotment		Nil	-	
- Bank Fixed Deposits (< 1 Year)		Nil	-	
- Cash/ Cash Equivalent & Net Current Assets		131,492.73	0.05%	
- Other Current Assets (Accrued Interest)		38,533.72	0.01%	
GRAND TOTAL		287,611,909.01	100.00%	

Average Maturity of Portfolio (in yrs)	-
Modified Duration (in yrs)	-
Yield to Maturity (%) (annualised)(at market price)	-

CREDIT RATING EXPOSURE					
Securities			Market Value	% of Portfolio	Ratings
Central Govt. Securities					
State Development Loans					
AAA / Equivalent				-	
A1+ (For Commercial Paper)				-	
AA+ / Equivalent				-	
AA / Equivalent					
AA- / Equivalent					
A+ / Equivalent					
A / Equivalent					
A- / Equivalent					
BBB+ / Equivalent					
BBB / Equivalent					