

NATIONAL PENSION SYSTEM TRUST

NPS TRUST A/C

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER I

UN-AUDITED REVENUE ACCOUNT FOR THE HALF YEAR ENDED 30TH SEPTEMBER 2019

Amount in ₹

Particulars	Schedule	Scheme E Tier I	
		30 th September 2019	28 th September 2018
Income			
Dividend		43,71,969.05	18,11,228.62
Miscellaneous income		-	130.77
Profit on sale/redemption of investments		51,67,417.65	3,35,928.39
Unrealised gain on appreciation in investments		39,11,392.39	36,67,443.99
Total Income (A)		1,34,50,779.09	58,14,731.77
Expenses & Losses			
Loss on sale/redemption of investments		93,02,655.24	5,38,012.95
Management fees (including service tax)		28,989.28	11,815.07
NPS Trust fees		4,116.20	5,006.40
Custodian fees		8,684.72	3,600.31
Depository and settlement charges		519.38	5.30
Total Expenditure (B)		93,44,964.82	5,58,440.03
Surplus/(Deficit) for the year (A-B = C)		41,05,814.27	52,56,291.74
Net Surplus/Deficit brought forward from previous year		2,35,38,259.62	-29,83,701.36
Less: Amount transferred to Unrealised appreciation account		-	-
Less: Amount transferred to General Reserve		-	-
Amount carried forward to Balance Sheet		2,76,44,073.89	22,72,590.38

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UN-AUDITED BALANCE SHEET AS AT 30TH SEPTEMBER 2019

Amount in ₹

Particulars	Schedule	Scheme E Tier I	
		30 th September 2019	28 th September 2018
Liabilities			
Unit Capital	1	43,02,38,703	24,66,84,311
Reserve & Surplus	2	9,54,46,617	4,09,27,598
Current Liabilities	3	43,65,487	97,124
Total		53,00,50,807	28,77,09,033
Assets			
Investment	4	52,56,35,187	28,74,41,882
Deposits	5	-	-
Other Current Assets	6	44,15,620	2,67,151
Total		53,00,50,807	28,77,09,033
(a) Net asset as per Balance Sheet (Schedule 4+5+6-3)		52,56,85,319	28,76,11,909
(b) Number of units outstanding		4,30,23,870	2,46,68,431
©NAV per unit (a)(b) (Rs.)		12.2185	11.6591

The notes referred to above form an integral part of the financial Statements.

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SCHEDULES FORMING PART OF THE UN-AUDITED HALF YEARLY FINANCIAL STATEMENTS AS ON 30TH SEPTEMBER 2019

Schedule 1: Unit capital

Amount in ₹

Particulars	Scheme E Tier I	
	30 th September 2019	28 th September 2018
Initial capital		
Unit Capital		
Outstanding at the beginning of the period	40,21,50,914.95	10,66,19,113.58
Add :Units issued during the period	31,16,86,334.84	24,57,09,438.15
Less: Units redeemed during the period	28,35,98,547.23	10,56,44,240.83
Outstanding at the end of the period	43,02,38,702.56	24,66,84,310.90
(Face Value of Rs.10/- each unit, fully paid up)	10.00	10.00
Outstanding units at the beginning of the period	4,02,15,091.50	1,06,61,911
Add :Units issued during the period	3,11,68,633.48	2,45,70,944
Less: Units redeemed during the period	2,83,59,854.72	1,05,64,424
Outstanding Units at the end of the period	4,30,23,870.26	2,46,68,431.09

Schedule 2: Reserves and surplus

Particulars	Scheme E Tier I	
	30 th September 2019	28 th September 2018
Unit premium reserve		
Opening balance	6,30,77,594.59	1,28,10,345.49
Add: Premium on Units issued	6,23,66,475.45	4,57,77,782.76
Less: Premium on Units redeemed	5,76,41,527.04	1,99,33,120.52
Closing balance	6,78,02,543.00	3,86,55,007.73
Appropriation Account		
Surplus/(Deficit) Carried forward	2,76,44,073.89	22,72,590.38
Closing balance	2,76,44,073.89	22,72,590.38
Total	9,54,46,616.89	4,09,27,598.11

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SCHEDULES FORMING PART OF THE UN-AUDITED HALF YEARLY FINANCIAL STATEMENTS AS ON 30TH SEPTEMBER 2019

Schedule 3: Current liabilities and provisions

Amount in ₹

Particulars	Scheme E Tier I	
	30 th September 2019	28 th September 2018
Current liabilities & Provisions		
Redemption Payable	3,18,073.32	84,886.71
Contracts for purchase of investments	40,24,211.70	-
NPS charges payable	4,115.54	3,038.13
NSDL & SEBI Payable	35.40	
Management fees payable	14,598.13	7,170.99
Custodial charges payable	4,453.00	2,028.59
Total	43,65,487.09	97,124.42

Schedule 4: Investments (Long Term and Short Term)

Particulars	Scheme E Tier I	
	30 th September 2019	28 th September 2018
Equity shares	51,23,35,186.90	28,62,48,881.30
Others - Mutual Fund Units	1,32,99,999.87	11,93,000.81
Total	52,56,35,186.77	28,74,41,882.11

Schedule 5: Deposits

Particulars	Scheme E Tier I	
	30 th September 2019	28 th September 2018
Deposits with scheduled banks	-	-
Total	-	-

Schedule 6: Other current assets

Particulars	Scheme E Tier I	
	30 th September 2019	28 th September 2018
Balances with banks in current account	17,43,517.97	1,31,491.18
Contracts for sale of investments	24,91,202.40	-
Brokerage receivable from PFM	-	2,027.94
Others - Dividend receivable	1,80,899.40	1,33,632.20
Total	44,15,619.77	2,67,151.32

NAME OF PENSION FUND
SCHEME NAME
MONTH

BIRLA SUN LIFE PENSION MANAGEMENT LIMITED
E-TIER I
Sep-19

Amount in ₹

Name of the Instrument	ISIN No.	Industry	Qty	Market Value	% of Portfolio	Ratings
ASIAN PAINTS LTD.	INE021A01026	Manufacture of paints and varnishes, enamels or lacquers	9,147.00	1,61,18,386.05	3.07%	
HINDUSTAN UNILEVER LIMITED	INE030A01027	Manufacture of soap all forms	12,522.00	2,48,17,977.90	4.72%	
KOTAK MAHINDRA BANK LIMITED	INE237A01028	Monetary intermediation of commercial banks, saving banks, postal savings	8,027.00	1,32,00,000.15	2.51%	
MARUTI SUZUKI INDIA LTD.	INE585B01010	Manufacture of passenger cars	1,946.00	1,30,68,946.80	2.49%	
RELIANCE INDUSTRIES LIMITED	INE002A01018	Manufacture of other petroleum n.e.c.	33,317.00	4,43,86,573.25	8.44%	
BHARTI AIRTEL LTD	INE397D01024	Activities of maintaining and operating pageing	39,162.00	1,43,74,412.10	2.73%	
GAIL (INDIA) LIMITED	INE129A01019	Distribution and sale of gaseous fuels through mains	86,440.00	1,16,26,180.00	2.21%	
HERO MOTOCORP LIMITED	INE158A01026	Manufacture of motorcycles, scooters, mopeds etc. and their	1,000.00	27,04,750.00	0.51%	
ICICI BANK LTD	INE090A01021	Monetary intermediation of commercial banks, saving banks, postal savings	73,606.00	3,19,22,922.20	6.07%	
LARSEN AND TOUBRO LIMITED	INE018A01030	Other civil engineering projects n.e.c.	17,216.00	2,53,80,688.00	4.83%	
MAHINDRA AND MAHINDRA LTD	INE101A01026	Manufacture of motor vehicles n.e.c.	22,498.00	1,23,09,780.70	2.34%	
PETRONET LNG LTD	INE347G01014	Distribution and sale of gaseous fuels through mains	42,227.00	1,09,74,797.30	2.09%	
SUN PHARMACEUTICALS INDUSTRIES LTD	INE044A01036	Manufacture of allopathic pharmaceutical preparations	29,740.00	1,15,82,243.00	2.20%	
HOUSING DEVELOPMENT FINANCE CORPORATION	INE001A01036	Activities of specialized institutions granting credit for house purchases	12,111.00	2,39,44,052.55	4.55%	
ITC LTD	INE154A01025	Manufacture of cigarettes, cigarette tobacco	92,660.00	2,40,77,701.00	4.58%	
STATE BANK OF INDIA	INE062A01020	Monetary intermediation of commercial banks, saving banks, postal savings	72,730.00	1,96,95,284.00	3.75%	
HDFC BANK LTD	INE040A01034	Monetary intermediation of commercial banks, saving banks, postal savings	37,422.00	4,59,33,633.90	8.74%	
INFOSYS LTD EQ	INE009A01021	Writing, modifying, testing of computer program	43,660.00	3,51,74,679.00	6.69%	
TECH MAHINDRA LIMITED	INE669C01036	Writing, modifying, testing of computer program	18,039.00	1,28,88,865.50	2.45%	
NTPC LIMITED	INE733E01010	Electric power generation by coal based thermal power plants	87,360.00	1,02,64,800.00	1.95%	
CIPLA LIMITED	INE059A01026	Manufacture of allopathic pharmaceutical preparations	17,900.00	76,16,450.00	1.45%	
IndusInd Bank Limited	INE095A01012	Monetary intermediation of commercial banks, saving banks, postal savings	10,130.00	1,40,15,361.50	2.67%	
NESTLE INDIA LTD	INE239A01016	Manufacture of milk-powder, ice-cream powder and condensed milk except	830.00	1,15,28,409.50	2.19%	
AXIS BANK	INE238A01034	Monetary intermediation of commercial banks, saving banks, postal savings	24,800.00	1,69,88,000.00	3.23%	
TATA CONSULTANCY SERVICES LIMITED	INE467B01029	Writing, modifying, testing of computer program	7,000.00	1,46,95,100.00	2.80%	
UltraTech Cement Limited	INE481G01011	Manufacture of clinkers and cement	3,730.00	1,61,92,862.50	3.08%	
Dr. Reddy's Laboratories Limited	INE089A01023	Manufacture of allopathic pharmaceutical preparations	2,000.00	54,04,500.00	1.03%	
Exide Industries Limited	INE302A01020	Manufacture of primary cells and primary batteries nd rechargeable batteries	37,000.00	72,22,400.00	1.37%	
Titan Company Limited	INE280A01028	Manufacture of jewellery of gold, silver and other precious or base metal	4,500.00	57,28,725.00	1.09%	
Bajaj Finance Limited	INE296A01024	Other financial service activities, except insurance and pension funding activities	2,100.00	84,96,705.00	1.62%	
Total				51,23,35,186.90	97.46%	

Money Market Instruments:-			Market Value	% of Portfolio	Ratings
- Treasury Bills			Nil	-	
- Money Market Mutual Funds			1,32,99,999.87	2.53%	
- Certificate of Deposits / Commercial Papers			Nil	-	
- Application Pending Allotment			Nil	-	
- Bank Fixed Deposits (< 1 Year)			Nil	-	
- Cash/ Cash Equivalent & Net Current Assets			3,91,409.92	0.07%	
- Other Current Assets (Accrued Interest)			-3,41,277.24	-0.06%	
GRAND TOTAL			52,56,85,319.45	100.00%	

Average Maturity of Portfolio (in yrs)	-
Modified Duration (in yrs)	-
Yield to Maturity (%) (annualised)(at market price)	-

CREDIT RATING EXPOSURE					
Securities			Market Value	% of Portfolio	Ratings
Central Govt. Securities					
State Development Loans					
AAA / Equivalent					
A1+ (For Commercial Paper)					
AA+ / Equivalent					
AA / Equivalent					
AA- / Equivalent					
A+ / Equivalent					
A / Equivalent					
A- / Equivalent					
BBB+ / Equivalent					
BBB / Equivalent					