NPS TRUST A/C ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER I AUDITED BALANCE SHEET AS AT 30th September 2020

Particulars	Schedule	Scheme E Tier I		
Particulars	Scriedule	30th September 2020	30th September 2019	
Liabilities				
Unit Capital	1	683,357,256	430,238,703	
Reserve & Surplus	2	150,617,290	95,446,617	
Current Liabilities	3	1,658,644	4,365,487	
Total		835,633,190	530,050,807	
Assets				
Investment	4	833,436,493	525,635,187	
Deposits	5	-		
Other Current Assets	6	2,196,696	4,415,620	
Total		835,633,190	530,050,807	
(a) Net asset as per Balance Sheet (Schedule 4+5+6-3)		833,974,546	525,685,319	
(b) Number of units outstanding		68,335,726	43,023,870	
©NAV per unit (a)(b) (Rs.)		12.2041	12.2185	

NPS TRUST A/C ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER I AUDITED REVENUE ACCOUNT FOR THE YEAR ENDED 30th September 2020

Particulars	Schedule	Scheme E Tier I		
	Schedule	30th September 2020	30th September 2019	
Income				
Dividend		4,741,071	4,371,969	
Miscellaneous income		-		
Profit on sale/redemption of investments		7,146,111	5,167,418	
Unrealised gain on appreciation in investments		185,439,565	3,911,392	
Total Income (A)		197,326,747	13,450,779	
Expenses & Losses				
Loss on sale/redemption of investments		23,700,533	9,302,655	
Unrealised loss on appreciation in investments		-	-	
Management fees (including service tax)		41,749	28,989	
NPS Trust fees		17,690	4,116	
Custodian fees		13,142	8,685	
Stamp duty Charge		5,944	-	
Brokerage		-	-	
CRA fees		373,775.00	160,880	
Less : Amount recoverable on sale of units on account of CRA Charges		-373,775.00	-160,880	
NSDL & SEBI charges		1,466	519	
Total Expenditure (B)		23,780,525	9,344,965	
Surplus/(Deficit) for the year (A-B = C)		173,546,222	4,105,814	
Less: Amount transferred to Unrealised appreciation account		185,439,565	3,911,392	
Less: Amount transferred to General Reserve		-11,893,344	194,422	
Amount carried forward to Balance Sheet		-		

NPS TRUST A/C

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER I SCHEDULES FORMING PART OF THE AUDITED YEARLY FINANCIAL STATEMENTS AS ON 30th September 2020

Schedule 1: Unit capital

Particulars	Schedule	Scheme E Tier I		
	Scriedule	30th September 2020	30th September 2019	
Initial capital				
Unit Capital				
Outstanding at the beginning of the period		553640015.9	402,150,914.95	
Add :Units issued during the period		179296531.7	311,686,334.84	
Less: Units redeemed during the period		49579291.8	283,598,547.23	
Outstanding at the end of the period		683,357,256	430,238,703	
(Face Value of Rs.10/- each unit, fully paid up)		10.00	10.00	
Outstanding units at the beginning of the period		55,364,002	40,215,091	
Add :Units issued during the period		17,929,653	31,168,633	
Less: Units redeemed during the period		4,957,929	28,359,855	
Outstanding Units at the end of the period		68,335,726	43,023,870	

Schedule 2: Reserves and surplus

Particulars	Schedule	Scheme E Tier I		
Particulars	Scriedule	30th September 2020	30th September 2019	
Unit premium reserve				
Opening balance		92,029,473	63,077,595	
Add: Premium on Units issued		23,509,814	62,366,475	
Less: Premium on Units redeemed		6,581,428	57,641,527	
Closing balance		108,957,858	67,802,543	
General Reserve				
Opening balance		7,692,154	-	
Add/(Less): Transfer from/(to) Revenue Account		-11,893,344	194,422	
Closing balance		-4,201,190	194,422	
Appropriation Account				
Surplus/(Deficit) Carried forward			23,538,260	
Closing balance		-	23,538,260	
Unrealised Appreciation Reserve				
Opening balance		-139,578,943	-	
Add/(Less): Transfer from/(to) Revenue Account		185,439,565	3,911,392	
Closing balance		45,860,622	3,911,392	
Total		150,617,289.86	95,446,616.89	

NPS TRUST A/C

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER I

SCHEDULES FORMING PART OF THE AUDITED YEARLY FINANCIAL STATEMENTS AS ON 30th September 2020

Schedule 3: Current liabilities and provisions

Particulars	Cabadula	Scheme E Tier I		
Particulars	Schedule	30th September 2020	30th September 2019	
Current liabilities & Provisions				
Sundry creditors for expenses				
Redemption Payable		1,603,713	318,073	
TDS Payable				
Contracts for purchase of investments		-	4,024,212	
NPS charges payable		3,411	4,116	
NSDL & SEBI Payable		2,844	35	
Management fees payable		41,719	14,598	
Stamp duty Payable		-	-	
Custodial charges payable		6,958	4,453	
Amount payable to other schemes				
Provisions*				
Total		1,658,644	4,365,487	

 $[\]ensuremath{^{*}}$ The Provision referred above is towards TDS payable on Custodian fees.

Schedule 4: Investments (Long Term and Short Term)

Particulars	Schedule	Scheme E Tier I	
raiticulais	Schedule	30th September 2020	30th September 2019
Equity shares		804,011,366	512,335,186
Others - Mutual Fund Units		29,425,127	13,300,000
Total		833,436,493	525,635,187

Schedule 5: Deposits

Particulars	Schedule	Scheme E Tier I	
Particulars		30th September 2020	30th September 2019
Deposits with scheduled banks		-	-
Total		-	-

Schedule 6: Other current assets

Particulars	Schedule	Scheme E Tier I		
Faiticulais		30th September 2020	30th September 2019	
Balances with banks in current account		1,612,976	1,743,518	
Contracts for sale of investments		-	2,491,202	
Brokerage receivable from PFM		354,715	179,611	
Receivable for sales invst		-267,870	-179,611	
Application money pending allotment				
Others - Dividend receivable		496,876	180,899	
Total		2,196,696	4,415,620	

Schedule 7: Realised Gain on Sale/redemption of investment

Particulars	Schedule	Scheme E Tier I	
raiticulais	Schedule	30th September 2020	30th September 2019
REALISED GAIN ON SALE /REDEMPTION OF INVESTMENT			
Profit on Sale of Equity shares		6,935,891	4,861,149
Profit on sale of Mutual fund scheme Units		210,219	306,269
Total		7,146,111	5,167,418

NAME OF PENSION FUND SCHEME NAME MONTH

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT LIMITED E-TIER I Sep-20

ISIN No.	Name of the Instrument	Industry	Quantity	Market Value	% of Portfolio
INE002A01018	RELIANCE INDUSTRIES LTD.	Manufacture of other petroleum n.e.c. (includes manufacture of p	40987	91579303.45	10.98%
INE040A01034	HDFC BANK LTD	Monetary intermediation of commercial banks, saving banks. post	60932	65721255.2	7.88%
INE009A01021	INFOSYS LIMITED	Writing, modifying, testing of computer program to meet the nee	57510	57984457.5	6.95%
INE090A01021	I C I C I BANK LTD	Monetary intermediation of commercial banks, saving banks. post	126556	44895741	5.38%
INE030A01027	HINDUSTAN LEVER LTD.	Manufacture of soap all forms	19747	40841732.75	4.90%
INE467B01029	TATA CONSULTANCY SERVICES LIMITED	Writing, modifying, testing of computer program to meet the nee	15470	38555881	4.62%
INE001A01036	HOUSING DEVELOPMENT FINANCE CORPORA	Activities of specialized institutions granting credit for house purch	21411	37256210.55	4.47%
INE237A01028	KOTAK MAHINDRA BANK LTD	Monetary intermediation of commercial banks, saving banks. post	23742	30109604.4	3.61%
INE018A01030	LARSEN AND TOUBRO LTD	Other civil engineering projects n.e.c.	31536	28432857.6	3.41%
INE397D01024	BHARTI AIRTEL LTD	Activities of maintaining and operating pageing, cellur and other to	58562	24651673.9	2.96%
INE154A01025	ITC LTD	Manufacture of cigarettes, cigarette tobacco	138460	23773582	2.85%
INE860A01027	HCL TECHNOLOGIES LTD	Writing, modifying, testing of computer program to meet the nee	21750	17652300	2.12%
INE481G01011	ULTRATECH CEMENT LIMITED	Manufacture of clinkers and cement	4305	17433312.75	2.09%
INE062A01020	STATE BANK OF INDIA	Monetary intermediation of commercial banks, saving banks. post	87680	16255872	1.95%
INE101A01026	MAHINDRA AND MAHINDRA LTD	Manufacture of motor vehicles n.e.c.	26048	15834579.2	1.90%
INE059A01026	CIPLA LIMITED	Manufacture of allopathic pharmaceutical preparations	19720	15277084	1.83%
INE296A01024	BAJAJ FINANCE LIMITED	Other financial service activities, except insurance and pension fur	4595	15065167	1.81%
INE585B01010	MARUTI SUZUKI INDIA LTD.	Manufacture of passenger cars	2186	14741181.7	1.77%
INE752E01010	POWER GRID CORPN OF INDIA LTD	Transmission of electric energy	88900	14441805	1.73%
INE129A01019	G A I L (INDIA) LTD	Disrtibution and sale of gaseous fuels through mains	164340	14264712	1.71%
INE347G01014	PETRONET L N G LTD	Disrtibution and sale of gaseous fuels through mains	62327	13752452.55	1.65%
INE029A01011	BHARAT PETROLIUM CORPORATION LIMITE	Production of liquid and gaseous fuels, illuminating oils, lubricating	38660	13648913	1.64%
INE089A01023	DR REDDY LABORATORIES	Manufacture of allopathic pharmaceutical preparations	2630	13643914	1.64%
INE259A01022	COLGATE PALMOLIVE (INDIA) LIMITED	Manufacture of preparations for oral or dental hygiene (includes m	9280	13302880	1.60%
INE021A01026	ASIAN PAINT LIMITED	Manufacture of paints and varnishes, enamels or lacquers	6457	12826184.8	1.54%
INE066A01021	EICHER MOTORS LTD	Manufacture of motor vehicles n.e.c.	5700	12555960	1.51%
INE238A01034	AXIS BANK LTD.	Monetary intermediation of commercial banks, saving banks. post	29560	12552654	1.51%
INE044A01036	SUN PHARMACEUTICAL INDS LTD	Manufacture of allopathic pharmaceutical preparations	24140	12080863	1.45%
INE111A01025	CONTAINER CORPORATION OF INDIA LTD	Switching and shunting	33060	12065247	1.45%
INE216A01030	BRITANNIA INDUSTRIES LIMITED	Manufacture of cream, butter, cheese, curd, ghee, khoya etc	2900	11014635	1.32%
INE123W01016	SBI LIFE INSURANCE CO. LTD.	Life Insurance	13000	10548850	1.26%
INE239A01016	NESTLE INDIA LTD	Manufacture of cream, butter, cheese, curd, ghee, khoya etc	550	8755257.5	1.05%
INE465A01025	BHARAT FORGE LIMITED	Forging, pressing, stamping and roll-forming of metal; powder met	18500	8279675	0.99%
INE376G01013	BIOCON LIMITED	Manufacture of allopathic pharmaceutical preparations	17700	7924290	0.95%
INE012A01025	ACC LIMITED	Manufacture of clinkers and cement	4800	6688560	0.80%
INE854D01024	UNITED SPIRITS LIMITED	Manufacture of beverages	11500	5932275	0.71%
IN9002A01024	RELIANCE INDUSTRIES LTD.	Manufacture of other petroleum n.e.c. (includes manufacture of p	2732	3670442	0.44%
	Sub Total A			804,011,366	96.41%

Money Market Instruments:-	Market Value	% of Portfolio
- Treasury Bills	Nil	-
- Money Market Mutual Funds	29,425,127	3.53%
- Certificate of Deposits / Commercial Papers	Nil	
- Application Pending Allotment	Nil	
- Bank Fixed Deposits (< 1 Year)	Nil	
- Cash/ Cash Equivalent	1,612,976	0.19%
- Other Current Assets	(1,074,923)	-0.13%
Sub Total B	29,963,180	3.59%
GRAND TOTAL	833,974,545	100.00%

NAV as at 30th Sept 2020	12.2041
NAV as at 31st August 2020	12.2280
Total investment in Infrastructure	25.38
Total outstanding exposure to derivatives	Nil
Total NPA provided for	Nil
Total Value of illiquid equity shares	Nil