

NATIONAL PENSION SYSTEM TRUST
ADITYA BIRLA SUN LIFE PENSION MANAGEMENT LIMITED
NPS TRUST A/C ADITYA BIRLA SUN LIFE PENSION MANAGEMENT LIMITED - SCHEME E TIER II

BALANCE SHEET AT THE PERIOD END 30th Sept 2021

Particulars	Schedule	(In Rs.)	
		30th Sept 2021	30th Sept 2020
Liabilities			
Unit Capital	1	8,59,72,024	6,97,76,438
Reserves and Surplus	2	7,26,78,012	1,48,68,695
Current Liabilities and Provisions	3	4,03,189	8,21,266
Total		15,90,53,225	8,54,66,400
Assets			
Investments	4	15,84,00,731	8,50,24,397
Other Current Assets	5	6,52,494	4,42,003
Total		15,90,53,225	8,54,66,399
(a) Net assets as per Balance Sheets		15,86,50,036	8,46,45,133
(b) Number of units outstanding		85,97,202	69,77,644

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REVENUE ACCOUNT AT THE PERIOD END 30th Sept 2021

Particulars	30th Sept 2021	(In Rs.) 30th Sept 2020
Income		
Dividend	10,24,635	4,72,469
Profit on sale/redemption of investments	29,60,930	9,18,854
Unrealised gain on appreciation in investments	1,99,47,852	1,84,84,696
Total Income (A)	2,39,33,417	1,98,76,018
Expenses and losses		
Loss on sale/redemption of investments	2,97,442	21,44,232
Management fees (including service tax)	8,178	4,294
NPS Trust Fees	3,465	1,819
Custodian fees	1,791	1,336
Depository and settlement charges	195	170
CRA fees	24,773	11,650
Less: Amount recoverable by sale of units on account of CRA Charges	(24,773)	(11,650)
Other Expenses	-	602
Total Expenditure (B)	3,11,072	21,52,454
Surplus/(Deficit) for the year (A-B)	2,36,22,345	1,77,23,564
Less: Amount transferred to Unrealised appreciation account	1,99,47,852	1,84,84,696
Less: Amount transferred to General Reserve	36,74,494	(7,61,131)
Amount carried forward to Balance Sheet	-	-

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET FOR THE PERIOD ENDED 30th Sept 2021

	(In Rs.)	
Schedule 1 - Unit Capital	30th Sept 2021	30th Sept 2020
Outstanding at the beginning of the year	7,95,96,317	5,73,62,259
Add: Units issued during the year	3,16,98,530	2,96,36,546
Less: Units redeemed during the year	2,53,22,824	1,72,22,367
Outstanding at the end of the year (₹)	8,59,72,024	6,97,76,438
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	79,59,632	57,36,226
Add: Units issued during the year	31,69,853	29,63,655
Less: Units redeemed during the year	25,32,282	17,22,237
Outstanding Units at the end of the year	85,97,202	69,77,644
Schedule 2 - Reserves and Surplus		
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	1,42,77,679	81,83,176
Add: Premium on Units issued	2,13,93,392	38,80,521
Less: Premium on Units redeemed	1,69,22,446	23,57,548
Add: Transfer from General Reserve	-	-
Closing Balance	1,87,48,625	97,06,148
General Reserve		
Opening Balance	8,57,289	6,49,024
Add: Transfer from Revenue Account	36,74,494	(7,61,131)
Less: Transfer to Unit Premium Reserve		
Closing Balance	45,31,783	(1,12,108)
Unrealised Appreciation Account		
Opening Balance	2,94,49,752	(1,32,10,041)
Add: Adjustment for Previous years unrealised appreciation reserve	-	-
Add/(Less): Transfer from/(to) Revenue Account	1,99,47,852	1,84,84,696
Closing Balance	4,93,97,604	52,74,655
Total	7,26,78,012	1,48,68,695

Schedule 3 - Current Liabilities and Provisions**Current Liabilities**

Sundry Creditors for expenses	5,543	5,357
Redemption Payable	3,97,646	8,15,909
Total	4,03,189	8,21,266

Schedule 4 - Investments**Investments (Long Term and Short Term)**

Equity Shares	15,43,90,932	8,33,06,808
Others - Mutual Fund Units	40,09,799	17,17,589
Total	15,84,00,731	8,50,24,397

Schedule 5 - Other Current Assets

Balances with bank in current account	4,18,892	3,84,576
Dividend Receivable	2,33,603	47,970
Brokerage receivable from PFM	-	9,456
Total	6,52,494	4,42,003

ISIN No.	Name of the Instrument	Industry	Quantity	Market Value	% of Portfolio
INE075A01022	WIPRO LTD	Writing , Modifying, Testing of Computer Program to Meet the Needs of a Particular Client ex	1,220	7,73,602	0.49%
INE752E01010	POWER GRID CORPORATION OF INDIA LIMITED	Transmission of Electric Energy	5,891	11,18,701	0.71%
INE860A01027	HCL Technologies Limited	Writing , Modifying, Testing of Computer Program to Meet the Needs of a Particular Client ex	2,840	36,33,922	2.29%
INE009A01021	INFOSYS LTD EQ	Writing , Modifying, Testing of Computer Program to Meet the Needs of a Particular Client ex	7,330	1,22,79,216	7.74%
INE044A01036	SUN PHARMACEUTICALS INDUSTRIES LTD	Manufacture of Medicinal Substances used in the Manufacture of Pharmaceuticals: Antibiotic	3,808	31,15,896	1.96%
INE040A01034	HDFC BANK LTD	Monetary Intermediation of Commercial Banks, Saving Banks. Postal Savings Bank and Discou	8,420	1,34,29,479	8.46%
INE203G01027	INDRAPRASTHA GAS	Disrtibution and Sale of Gaseous Fuels Through Mains	2,325	12,39,458	0.78%
INE038A01020	HINDALCO INDUSTRIES LTD.	Manufacture of Aluminium from Alumina and by Other Methods and Products of Aluminium a	2,425	11,83,279	0.75%
INE101A01026	MAHINDRA AND MAHINDRA LTD	Manufacture of Tractors used in Agriculture and Forestry	2,285	18,34,969	1.16%
INE066A01021	EICHER MOTORS LTD	Manufacture of Motorcycles, Scooters, Mopeds etc. and Their Engine	285	7,95,207	0.50%
INE114A01011	STEEL AUTHORITY OF INDIA LTD	Manufacture of Hot-Rolled and Cold-Rolled Products of Steel	2,520	2,86,398	0.18%
INE021A01026	ASIAN PAINTS LTD.	Manufacture of Paints and Varnishes, Enamels or Lacquers	728	23,62,105	1.49%
INE081A01012	TATA STEEL LIMITED.	Manufacture of Other Iron and Steel Casting and Products thereof	1,450	18,68,905	1.18%
INE018A01030	LARSEN AND TOUBRO LIMITED	Other Civil Engineering Projects n.e.c.	3,375	57,47,456	3.62%
INE030A01027	HINDUSTAN UNILEVER LIMITED	Manufacture of Soap all Forms	1,819	49,14,574	3.10%
INE769A01020	AARTI INDUSTRIES LTD	Manufacture of organic and inorganic chemical compounds n.e.c.	600	5,57,910	0.35%
IN9397D01014	Bharti Airtel Right Issue 14:1	Activitiesofmaintaining Andoperatingpageing, Cellurandother Telecommunication Networks	432	66,226	0.04%
INE090A01021	ICICI BANK LTD	Monetary Intermediation of Commercial Banks, Saving Banks. Postal Savings Bank and Discou	16,107	1,12,88,591	7.12%
INE237A01028	KOTAK MAHINDRA BANK LIMITED	Monetary Intermediation of Commercial Banks, Saving Banks. Postal Savings Bank and Discou	1,828	36,66,054	2.31%
INE726G01019	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	Life insurance	2,150	14,44,478	0.91%
INE585B01010	MARUTI SUZUKI INDIA LTD.	Manufacture of Passenger Cars	289	21,20,696	1.34%
INE062A01020	STATE BANK OF INDIA	Monetary Intermediation of Commercial Banks, Saving Banks. Postal Savings Bank and Discou	9,548	43,25,244	2.73%
INE001A01036	HOUSING DEVELOPMENT FINANCE CORPORATION	Activities of specialized institutions granting credit for house purchases that also take deposits	3,117	85,85,153	5.41%
INE129A01019	GAIL (INDIA) LIMITED	Distribution and Sale of Gaseous Fuels Through Mains	7,320	11,62,782	0.73%
INE002A01018	RELIANCE INDUSTRIES LIMITED	Manufacture of Other Petroleum n.e.c.	5,041	1,26,99,539	8.00%
INE154A01025	ITC LTD	Manufacture of Cigarettes, Cigarette Tobacco	17,523	41,38,056	2.61%
INE245A01021	TATA POWER COMPANY LIMITED	Electric Power Generation by Coal Based Thermal Power Plants	5,600	8,89,000	0.56%
INE079A01024	AMBUJA CEMENTS LTD	Manufacture of Clinkers and Cement	1,200	4,80,240	0.30%
INE397D01024	BHARTI AIRTEL LTD	Activitiesofmaintaining Andoperatingpageing, Cellurandother Telecommunication Networks	6,048	41,62,838	2.62%
IN9002A01032	Reliance industry Right issue Partly paid FV 5	Manufacture of Other Petroleum n.e.c.	264	4,93,984	0.31%
INE263A01024	BHARAT ELECTRONICS LIMITED	Manufacture of Radar Equipment, Gps Devices, Search, Detection, Navigation, Aeronautical ar	4,940	10,02,573	0.63%
INE123W01016	SBI LIFE INSURANCE COMPANY LIMITED	Life Insurance	1,280	15,55,328	0.98%
INE216A01030	Britannia Industries Limited	Manufacture of Biscuits, Cakes, Pastries, Rusks etc.	200	7,89,790	0.50%
INE111A01025	Container Corporation of India Limited	Freight Rail Transport	930	6,54,999	0.41%
INE029A01011	Bharat Petroleum Corporation Limited	Production of Liquid and Gaseous Fuels, Illuminating Oils, Lubricating Oils or Greases or Other	2,425	10,48,085	0.66%
INE155A01022	TATA MOTORS LTD	Manufacture of Commercial Vehicles such as Vans, Lorries, Over-The-Road Tractors for Semi-T	3,900	13,00,065	0.82%
INE686F01025	United Breweries Limited	Manufacture of beer	500	7,85,570	0.50%
INE465A01025	Bharat Forge Limited	Forging, Pressing, Stamping and Roll-Forming of Metal; Powder Metallurgy	2,070	15,26,522	0.96%
INE296A01024	Bajaj Finance Limited	Other Credit Granting	477	36,57,684	2.31%
INE280A01028	Titan Company Limited	Manufacture of Jewellery of Gold, Silver and Other Precious or Base Metal Metal Clad With Pr	780	16,86,243	1.06%
INE208A01029	ASHOK LEYLAND LTD	Manufacture of Commercial Vehicles such as Vans, Lorries, Over-The-Road Tractors for Semi-T	8,720	11,66,736	0.74%
INE298A01020	CUMMINS INDIA LIMITED	Manufacture of Engines and Turbines, except Aircraft, Vehicle and Cycle Engines	1,218	12,08,195	0.76%
INE192A01025	Tata Consumer Products Limited	Processing and Blending of Tea including Manufacture of Instant Tea	1,850	15,05,160	0.95%
INE089A01023	Dr. Reddy's Laboratories Limited	Manufacture of allopathic pharmaceutical preparations	424	20,69,417	1.30%
INE481G01011	UltraTech Cement Limited	Manufacture of Clinkers and Cement	390	28,84,479	1.82%
INE628A01036	UPL LIMITED	Manufacture of Insecticides, Rodenticides, Fungicides, Herbicides	750	5,30,775	0.33%
INE070A01015	Shree CEMENT LIMITED	Manufacture of Other Cement and Plaster n.e.c.	48	13,87,274	0.87%
INE016A01026	Dabur India Limited	Manufacture of Hair Oil, Shampoo, Hair Dye etc.	1,230	7,58,972	0.48%
INE733E01010	NTPC LIMITED	Electric Power Generation by Coal Based Thermal Power Plants	9,450	13,40,483	0.84%
INE059A01026	CIPLA LIMITED	Manufacture of Medicinal Substances used in the Manufacture of Pharmaceuticals: Antibiotic	2,355	23,16,260	1.46%
INE795G01014	HDFC LIFE INSURANCE COMPANY LTD	Life Insurance	740	5,34,576	0.34%
INE095A01012	IndusInd Bank Limited	Monetary Intermediation of Commercial Banks, Saving Banks. Postal Savings Bank and Discou	300	3,33,570	0.21%
INE669C01036	TECH MAHINDRA LIMITED	Computer Consultancy and Computer Facilities Management Activities	840	11,59,704	0.73%
INE467B01029	TATA CONSULTANCY SERVICES LIMITED	Computer Consultancy and Computer Facilities Management Activities	1,758	66,37,417	4.18%
INE238A01034	AXIS BANK	Monetary Intermediation of Commercial Banks, Saving Banks. Postal Savings Bank and Discou	4,855	37,21,600	2.35%
INE239A01016	NESTLE INDIA LTD	Manufacture of milk-powder, ice-cream powder and condensed milk exceptbaby milk food	65	12,63,961	0.80%
INE226A01021	VOLTAS LTD	Manufacture of air-conditioning machines, including motor vehicles airconditioners	740	9,01,357	0.57%
	Subtotal A			15,43,90,932	97.32%

	Market Value	% of Portfolio
Money Market Instruments:-		
- Treasury Bills	Nil	-
- Money Market Mutual Funds	40,09,799	2.53%
- Certificate of Deposits / Commercial Papers	Nil	-
- Application Pending Allotment	Nil	-
- Bank Fixed Deposits (< 1 Year)	Nil	-
- Cash/ Cash Equivalent & Net Current Assets	4,18,896	0.26%
- Other Current Assets (Accrued Interest)	-	-
Net Current assets	(1,69,592)	-0.11%
Sub Total B	42,59,103	2.68%
GRAND TOTAL (sub total A + sub total B)	15,86,50,035	100.00%

Average Maturity of Portfolio (in yrs)	-
Modified Duration (in yrs)	-
Yield to Maturity (%) (annualised)(at market price	-
Net Asset Value	18.4537
Net asset value last month	18.0111
Total investment in Infrastructure	3,92,08,703
Total outstanding exposure to derivatives	Nil
Total NPA provided for	Nil