

NATIONAL PENSION SYSTEM TRUST

NPS TRUST A/C

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER II
UN-AUDITED REVENUE ACCOUNT FOR THE HALF YEAR ENDED 30th Sept 2017

| Particulars | Schedule | Scheme E Tier II Sept 30th 2017 |
|--|----------|------------------------------------|
| Income | | |
| Dividend | | 15,027 |
| Profit on sale/redemption of investments | | 1,030 |
| Unrealised gain on appreciation in investments | | - |
| Total Income (A) | | 16,057 |
| Expenses & Losses | | |
| Loss on sale/redemption of investments | | 1,102 |
| Unrealised loss on appreciation in investments | | 69,679 |
| Management fees (including service tax) | | 95 |
| NPS Trust fees | | 95 |
| Custodian fees | | 30 |
| Brokerage | | 121 |
| CRA fees | | |
| Less : Amount recoverable on sale of units on account of CRA Charges | | |
| Depository and settlement charges | | |
| Total Expenditure (B) | | 71,121 |
| | | |
| Surplus/(Deficit) for the year (A-B = C) | | -55,064 |
| | | |
| Less: Amount transferred to Unrealised appreciation account | | - |
| Less: Amount transferred to General Reserve | | - |
| | | |
| Amount carried forward to Balance Sheet | | -55,064 |

NATIONAL PENSION SYSTEM TRUST**NPS TRUST A/C**ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER II
UN-AUDITED BALANCE SHEET AS AT SEPT 30th , 2017**(in Rs.)**

| Particulars | Schedule | Scheme E Tier II Sept 30th 2017 |
|---|----------|------------------------------------|
| Liabilities | | |
| Unit Capital | 1 | 50,19,025 |
| Reserve & Surplus | 2 | 2,17,611 |
| Current Liabilities | 3 | 16,596 |
| Total | | 52,53,232 |
| Assets | | |
| Investment | 4 | 52,37,418 |
| Deposits | 5 | - |
| Other Current Assets | 6 | 15,813 |
| Total | | 52,53,232 |
| (a) Net asset as per Balance Sheet (Schedule 4+5+6-3) | | 52,36,636 |
| (b) Number of units outstanding | | 5,01,902 |
| ©NAV per unit (a)(b) (Rs.) | | 10.4336 |

The notes referred to above form an integrat part of the financial Statements.

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Schedules forming part of the un-audited half yearly financial statements.

Schedule 1: Unit capital

| Particulars | Scheme E Tier II |
|--|------------------|
| | 30th Sept 2017 |
| Initial capital | |
| Unit Capital | |
| Outstanding at the beginning of the period | 0 |
| Add :Units issued during the period | |
| Less: Units redeemed during the period | |
| Outstanding at the end of the period | 50,19,025 |
| (Face Value of Rs.10/- each unit, fully paid up) | 10.0000 |
| Outstanding units at the beginning of the period | |
| Add :Units issued during the period | |
| Less: Units redeemed during the period | |
| Outstanding Units at the end of the period | 5,01,902.47 |

Schedule 2: Reserves and surplus

| Particulars | Scheme E Tier II |
|--|------------------|
| | 30th Sept 2017 |
| Unit premium reserve | |
| Opening balance | |
| Add: Premium on Units issued | |
| Less: Premium on Units redeemed | |
| Closing balance | 2,72,675 |
| General Reserve | |
| Opening balance | |
| Add/(Less): Transfer from/(to) Revenue Account | |
| Closing balance | |
| Appropriation Account | |
| Surplus/(Deficit) Carried forward | -55064.14 |
| Closing balance | -55064.14 |
| Unrealised Appreciation Reserve | |
| Opening balance | |
| Add/(Less): Transfer from/(to) Revenue Account | |
| Closing balance | |
| Total | 2,17,611 |

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ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER II

Schedules forming part of the un-audited half yearly financial statements.

Schedule 3: Current liabilities and provisions

| Particulars | Scheme E Tier II |
|---|------------------|
| | 30th Sept 2017 |
| Current liabilities & Provisions | |
| Sundry creditors for expenses | - |
| Redemption Payable | 16,326 |
| TDS Payable | |
| Contracts for purchase of investments | 51 |
| NPS charges payable | 95 |
| Management fees payable | 95 |
| Custodial charges payable | 30 |
| Brokerage payable | - |
| Amount payable to other schemes | |
| Provisions* | |
| Total | 16,596 |

* The Provision referred above is towards TDS payable on Custodian fees.

Schedule 4: Investments (Long Term and Short Term)

| Particulars | Scheme E Tier II |
|----------------------------|------------------|
| | 30th Sept 2017 |
| Equity shares | 52,37,418 |
| Others - Mutual Fund Units | - |
| Total | 52,37,418 |

Schedule 5: Deposits

| Particulars | Scheme E Tier II |
|-------------------------------|------------------|
| | 30th Sept 2017 |
| Deposits with scheduled banks | |
| Total | 0 |

Schedule 6: Other current assets

| Particulars | Scheme E Tier II |
|---|------------------|
| | 30th Sept 2017 |
| Balances with banks in current account | 9,871 |
| Contracts for sale of investments | - |
| Brokerage receivable from PFM | |
| Application money pending allotment | |
| Others - Dividend receivable | 5,942 |
| Sundry Debtors - Margin Money for Investments | |
| Total | 15,813 |

NAME OF PENSION FUND
SCHEME NAME
MONTH

BIRLA SUN LIFE PENSION MANAGEMENT LIMITED
E-TIER II
Sep-17

| Name of the Instrument | ISIN No. | Industry | Quantity | Market Value | % of Portfolio | Ratings |
|---|--------------|--|----------|---------------------|-----------------------|----------------|
| Pidilite Industries Ltd. | INE318A01026 | Manufacture of organic and inorganic chemical compounds n.e.c. | 100 | 79,450 | 1.52% | |
| Itc Ltd | INE154A01025 | Manufacture of cigarettes, cigarette tobacco | 1,203 | 3,10,735 | 5.93% | |
| State Bank Of India | INE062A01020 | Monetary intermediation of commercial banks, saving banks. postal savings | 1,073 | 2,72,381 | 5.20% | |
| Housing Development Finance Corpora | INE001A01036 | Activities of specialized institutions granting credit for house purchases | 219 | 3,81,531 | 7.29% | |
| Tata Steel Ltd | INE081A01012 | Manufacture of hot-rolled and cold-rolled products of steel | 399 | 2,60,367 | 4.97% | |
| Sun Pharmaceutical Inds Ltd | INE044A01036 | Manufacture of allopathic pharmaceutical preparations | 194 | 97,631 | 1.86% | |
| Hindalco Industries Ltd. | INE038A01020 | Manufacture of Aluminium from alumina and by other methods and products | 888 | 2,13,653 | 4.08% | |
| Power Grid Corpn Of India Ltd | INE752E01010 | Transmission of electric energy | 757 | 1,59,727 | 3.05% | |
| Hdfc Bank Ltd | INE040A01026 | Monetary intermediation of commercial banks, saving banks. postal savings | 68 | 1,22,788 | 2.34% | |
| Asian Paint Limited | INE021A01026 | Manufacture of paints and varnishes, enamels or lacquers | 147 | 1,66,360 | 3.18% | |
| Hindustan Lever Ltd. | INE030A01027 | Manufacture of soap all forms | 260 | 3,05,214 | 5.83% | |
| Kotak Mahindra Bank Ltd | INE237A01028 | Monetary intermediation of commercial banks, saving banks. postal savings | 149 | 1,49,335 | 2.85% | |
| Maruti Suzuki India Ltd. | INE585B01010 | Manufacture of passenger cars | 35 | 2,79,237 | 5.33% | |
| Reliance Industries Ltd. | INE002A01018 | Manufacture of other petroleum n.e.c. | 584 | 4,56,046 | 8.71% | |
| Zee Entertainment | INE256A01028 | Television programming and broadcasting activities | 397 | 2,06,480 | 3.94% | |
| Ambuja Cements Ltd. | INE079A01024 | Manufacture of clinkers and cement | 639 | 1,70,262 | 3.25% | |
| Eicher Motors Ltd | INE066A01013 | Manufacture of commercial vehicles such as vans, lorries, over-the-road | 3 | 93,640 | 1.79% | |
| G A I L (India) Ltd | INE129A01019 | Disrtbution and sale of gaseous fuels through mains | 460 | 1,92,763 | 3.68% | |
| Hero Motocorp Ltd | INE158A01026 | Manufacture of motorcycles, scooters, mopeds etc. and their | 58 | 2,18,924 | 4.18% | |
| I C I C I Bank Ltd | INE090A01021 | Monetary intermediation of commercial banks, saving banks. postal savings | 992 | 2,74,387 | 5.24% | |
| Larsen And Toubro Ltd | INE018A01030 | Other civil engineering projects n.e.c. | 278 | 3,17,490 | 6.06% | |
| Mahindra And Mahindra Ltd | INE101A01026 | Manufacture of motor vehicles n.e.c. | 218 | 2,73,427 | 5.22% | |
| Petronet L N G Ltd | INE347G01014 | Disrtbution and sale of gaseous fuels through mains | 1,019 | 2,35,593 | 4.50% | |
| TOTAL | | | | 52,37,418 | 100.01% | |
| Money Market Instruments:- | | | | Market Value | % of Portfolio | Ratings |
| - Treasury Bills | | | | Nil | - | |
| - Money Market Mutual Funds | | | | Nil | - | |
| - Certificate of Deposits / Commercial Papers | | | | Nil | - | |
| - Application Pending Allotment | | | | Nil | - | |
| - Bank Fixed Deposits (< 1 Year) | | | | Nil | - | |
| - Cash/ Cash Equivalent & Net Current Assets | | | | -782 | -0.01% | |
| - Other Current Assets | | | | Nil | - | |
| GRAND TOTAL | | | | 52,36,636 | 100.00% | |