

NATIONAL PENSION SYSTEM TRUST

NPS TRUST A/C

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER II
UN-AUDITED REVENUE ACCOUNT FOR THE HALF YEAR ENDED 28th Sept 2018

Particulars	Schedule	Scheme E Tier II	Scheme E Tier II
		Sept 28th 2018	Sept 30th 2017
Income			
Dividend		237,125	15,027
MISCELLANEOUS INCOME SCHIL		13	-
Profit on sale/redemption of investments		97,052	1,030
Unrealised gain on appreciation in investments		358,604	-
Total Income (A)		692,795	16,057
Expenses & Losses			
Loss on sale/redemption of investments		79,326	1,102
Unrealised loss on appreciation in investments		-	69,679
Management fees (including service tax)		1,529	95
NPS Trust fees		648	95
Custodian fees		463	30
Brokerage		-	121
Depository and settlement charges		11	-
Total Expenditure (B)		81,977	71,121
Surplus/(Deficit) for the year (A-B = C)		610,817	-55,064
Net Surplus/Deficit brought forward from previous year		-222,320	
Less: Amount transferred to Unrealised appreciation account		-	-
Less: Amount transferred to General Reserve		-	-
Amount carried forward to Balance Sheet		388,497	-55,064

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ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER II

UN-AUDITED BALANCE SHEET AS AT SEPT 28th , 2018

Particulars	Schedule	Scheme E Tier II	Scheme E Tier II
		Sept 28th 2018	Sept 30th 2017
Liabilities			
Unit Capital	1	31,528,858	5,019,025
Reserve & Surplus	2	5,126,138	217,611
Current Liabilities	3	77,433	16,596
Total		36,732,429	5,253,232
Assets			
Investment	4	36,630,050	5,237,419
Deposits	5	-	-
Other Current Assets	6	102,379	15,813
Total		36,732,429	5,253,232
(a) Net asset as per Balance Sheet (Schedule 4+5+6-3)		36,654,995	5,236,636
(b) Number of units outstanding		3,152,886	501,902
©NAV per unit (a)/(b) (Rs.)		11.6259	10.4336

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Schedules forming part of the un-audited half yearly financial statements as on 28th September 2018

Schedule 1: Unit capital

Particulars	Schedule	Scheme E Tier II	Scheme E Tier II
		28th Sept 2018	30th Sept 2017
Initial capital			
Unit Capital			
Outstanding at the beginning of the period		14534078	-
Add :Units issued during the period		22453316	-
Less: Units redeemed during the period		5458537	-
Outstanding at the end of the period		31,528,858	5,019,025
(Face Value of Rs.10/- each unit, fully paid up)		10.0000	10.0000
Outstanding units at the beginning of the period		1,453,408	-
Add :Units issued during the period		2,245,332	-
Less: Units redeemed during the period		545,854	-
Outstanding Units at the end of the period		3,152,886	501,902.47

Schedule 2: Reserves and surplus

Particulars	Schedule	Scheme E Tier II	Scheme E Tier II
		28th Sept 2018	30th Sept 2017
Unit premium reserve			
Opening balance		1532540	-
Add: Premium on Units issued		7196170	-
Less: Premium on Units redeemed		3991069	-
Closing balance		4,737,641	272,675
Appropriation Account			
Surplus/(Deficit) Carried forward		388497.08	-55064.14
Closing balance		388497.08	-55064.14
Total		5,126,138	217,611

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ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER II

Schedules forming part of the un-audited half yearly financial statements as on 28th September 2018

Schedule 3: Current liabilities and provisions

Particulars	Schedule	Scheme E Tier II	
		28th Sept 2018	30th Sept 2017
Current liabilities & Provisions			
Redemption Payable		75,873	16,326
Contracts for purchase of investments		-	51
NPS charges payable		396	95
Management fees payable		936	95
Custodial charges payable		229	30
Total		77,433	16,596

* The Provision referred above is towards TDS payable on Custodian fees.

Schedule 4: Investments (Long Term and Short Term)

Particulars	Schedule	Scheme E Tier II	
		28th Sept 2018	30th Sept 2017
Equity shares		36,110,982	5,237,419
Others - Mutual Fund Units		519,068	-
Total		36,630,050	5,237,419

Schedule 5: Deposits

Particulars	Schedule	Scheme E Tier II	
		28th Sept 2018	30th Sept 2017
Deposits with scheduled banks			
Total		0	0

Schedule 6: Other current assets

Particulars	Schedule	Scheme E Tier II	
		28th Sept 2018	30th Sept 2017
Balances with banks in current account		84,485	9,871
Brokerage receivable from PFM		160	-
Others - Dividend receivable		17,733	5,942
Total		102,379	15,813

NAME OF PENSION FUND
SCHEME NAME
MONTH

BIRLA SUN LIFE PENSION MANAGEMENT LIMITED
E-TIER II
Sep-18

Name of the Instrument	ISIN No.	Industry	Quantity	Market Value	% of Portfolio	Ratings
EICHER MOTORS LTD	INE066A01013	Manufacture of commercial vehicles such as vans, lorries, over-the-road tractors for semi-trailers etc.	42.00	1,015,786.80	2.77%	
BHARTI AIRTEL LTD	INE397D01024	Activities of maintaining and operating paging, cellur and other tetecommunication networks	2,585.00	875,151.75	2.39%	
GAIL (INDIA) LIMITED	INE129A01019	Disrtibution and sale of gaseous fuels through mains	3,115.00	1,180,585.00	3.22%	
HERO MOTOCORP LIMITED	INE158A01026	Manufacture of motorcycles, scooters, mopeds etc. and their engine	372.00	1,091,169.00	2.98%	
AMBUJA CEMENTS LTD	INE079A01024	Manufacture of clinkers and cement	6,583.00	1,471,629.65	4.01%	
ICICI BANK LTD	INE090A01021	Monetary intermediation of commercial banks, saving banks. postal savings bank and discount houses	2,267.00	692,681.85	1.89%	
LARSEN AND TOUBRO LIMITED	INE018A01030	Other civil engineering projects n.e.c.	1,450.00	1,844,545.00	5.03%	
MAHINDRA AND MAHINDRA LTD	INE101A01026	Manufacture of motor vehicles n.e.c.	1,655.00	1,424,872.25	3.89%	
PETRONET LNG LTD	INE347G01014	Disrtibution and sale of gaseous fuels through mains	3,664.00	823,484.00	2.25%	
SUN PHARMACEUTICALS INDUSTRIES LTD	INE044A01036	Manufacture of allopathic pharmaceutical preparations	1,838.00	1,145,533.50	3.13%	
HOUSING DEVELOPMENT FINANCE CORPORATION	INE001A01036	Activities of specialized institutions granting credit for house purchases that also take deposits	1,027.00	1,801,871.50	4.92%	
JTC LTD	INE154A01025	Manufacture of cigarettes, cigarette tobacco	6,133.00	1,826,100.75	4.98%	
STATE BANK OF INDIA	INE062A01020	Monetary intermediation of commercial banks, saving banks. postal savings bank and discount houses	2,753.00	730,921.50	1.99%	
HINDALCO INDUSTRIES LTD.	INE038A01020	Manufacture of Aluminium from alumina and by other methods and products of aluminium and alloys	4,440.00	1,019,646.00	2.78%	
HDFC BANK LTD	INE040A01026	Monetary intermediation of commercial banks, saving banks. postal savings bank and discount houses	1,110.00	2,226,715.50	6.07%	
INFOSYS LTD EQ	INE009A01021	Writing , modifying, testing of computer program to meet the needs of a particular client excluding web-	2,480.00	1,810,524.00	4.94%	
HCL Technologies Limited	INE860A01027	Writing , modifying, testing of computer program to meet the needs of a particular client excluding web-	635.00	690,753.00	1.88%	
TECH MAHINDRA LIMITED	INE669C01036	Writing , modifying, testing of computer program to meet the needs of a particular client excluding web-	920.00	685,860.00	1.87%	
YESBANK	INE528G01027	Monetary intermediation of commercial banks, saving banks. postal savings bank and discount houses	2,125.00	390,256.25	1.06%	
NTPC LIMITED	INE733E01010	Electric power generation by coal based thermal power plants	4,540.00	757,499.00	2.07%	
CIPLA LIMITED	INE059A01026	Manufacture of allopathic pharmaceutical preparations	1,140.00	745,617.00	2.03%	
Motherson Sumi Systems Limited	INE775A01035	Manufacture of motor vehicle electrical equipment, such as generators,alternators, spark plugs, ignition v	2,850.00	731,880.00	2.00%	
IndusInd Bank Limited	INE095A01012	Monetary intermediation of commercial banks, saving banks. postal savings bank and discount houses	640.00	1,081,632.00	2.95%	
Zee Entertainment	INE256A01028	Television programming and broadcasting activities	2,432.00	1,066,675.20	2.91%	
RELIANCE INDUSTRIES LIMITED	INE002A01018	Manufacture of other petroleum n.e.c. (includes manufacture of petroleum jelly, micro-crystalline petrol	2,061.00	2,592,634.95	7.07%	
MARUTI SUZUKI INDIA LTD.	INE585B01010	Manufacture of passenger cars	275.00	2,020,686.25	5.51%	
KOTAK MAHINDRA BANK LIMITED	INE237A01028	Monetary intermediation of commercial banks, saving banks. postal savings bank and discount houses	893.00	1,019,448.80	2.78%	
HINDUSTAN UNILEVER LIMITED	INE030A01027	Manufacture of soap all forms	1,169.00	1,880,219.60	5.13%	
ASIAN PAINTS LTD.	INE021A01026	Manufacture of paints and varnishes, enamels or lacquers	1,134.00	1,466,602.20	4.00%	
Total				36,110,982.30	98.52%	

Money Market Instruments:-				Market Value	% of Portfolio	Ratings
- Treasury Bills				Nil	-	
- Money Market Mutual Funds				519,067.71	1.42%	
- Certificate of Deposits / Commercial Papers				Nil	-	
- Application Pending Allotment				Nil	-	
- Bank Fixed Deposits (< 1 Year)				Nil	-	
- Cash/ Cash Equivalent & Net Current Assets				84,487.59	0.23%	
- Other Current Assets				(59,542.16)	-0.16%	
GRAND TOTAL				36,654,995.44	100.00%	

Average Maturity of Portfolio (in yrs)	-
Modified Duration (in yrs)	-
Yield to Maturity (%) (annualised)(at market price	-

CREDIT RATING EXPOSURE						
Securities				Market Value	% of Portfolio	Ratings
Central Govt. Securities						
State Development Loans						
AAA / Equivalent					-	
A1+ (For Commercial Paper)					-	
AA+ / Equivalent					-	
AA / Equivalent						
AA- / Equivalent						
A+ / Equivalent						
A / Equivalent						
A- / Equivalent						
BBB+ / Equivalent						
BBB / Equivalent						