

NATIONAL PENSION SYSTEM TRUST

NPS TRUST A/C

ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER II

UN-AUDITED REVENUE ACCOUNT FOR THE HALF YEAR ENDED 30TH SEPTEMBER 2019

Amount in ₹

Particulars	Schedule	Scheme E Tier II	
		30 th September 2019	28 th September 2018
Income			
Dividend		4,33,356.05	2,37,125.28
MISCELLANEOUS INCOME SCHIL		-	13.18
Profit on sale/redemption of investments		9,91,166.20	97,052.34
Unrealised gain on appreciation in investments		5,47,092.56	3,58,603.83
Total Income (A)		19,71,614.81	6,92,794.63
Expenses & Losses			
Loss on sale/redemption of investments		13,94,419.52	79,325.74
Management fees (including service tax)		2,860.75	1,529.44
NPS Trust fees		425.67	648.05
Custodian fees		889.82	463.45
Depository and settlement charges		259.15	10.62
Total Expenditure (B)		13,98,854.91	81,977.30
Surplus/(Deficit) for the year (A-B = C)		5,72,759.90	6,10,817.33
Net Surplus/Deficit brought forward from previous year		22,36,516.83	-2,22,320.25
Less: Amount transferred to Unrealised appreciation account		-	-
Less: Amount transferred to General Reserve		-	-
Amount carried forward to Balance Sheet		28,09,276.73	3,88,497.08

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UN-AUDITED BALANCE SHEET AS AT 30TH SEPTEMBER 2019

Amount in ₹

Particulars	Schedule	Scheme E Tier II	
		30 th September 2019	28 th September 2018
Liabilities			
Unit Capital	1	4,27,59,669.38	3,15,28,857.55
Reserve & Surplus	2	91,94,337.62	51,26,137.89
Current Liabilities	3	72,121.01	77,433.22
Total		5,20,26,128.01	3,67,32,428.66
Assets			
Investment	4	5,19,41,412.58	3,66,30,050.01
Deposits	5	-	-
Other Current Assets	6	84,715.43	1,02,378.65
Total		5,20,26,128.01	3,67,32,428.66
(a) Net asset as per Balance Sheet (Schedule 4+5+6-3)		5,19,54,007.00	3,66,54,995.44
(b) Number of units outstanding		42,75,966.94	31,52,885.76
©NAV per unit (a)(b) (₹)		12.15024	11.62586

The notes referred to above form an integral part of the financial Statements.

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SCHEDULES FORMING PART OF THE UN-AUDITED HALF YEARLY FINANCIAL STATEMENTS AS ON 30TH SEPTEMBER 2019

Schedule 1: Unit capital

Amount in ₹

Particulars	Scheme E Tier II	
	30 th September 2019	28 th September 2018
Initial capital		
Unit Capital		
Outstanding at the beginning of the period	3,95,23,713.44	2,17,40,728.87
Add :Units issued during the period	5,62,16,347.13	2,29,55,846.10
Less: Units redeemed during the period	5,29,80,391.19	1,31,67,717.42
Outstanding at the end of the period	4,27,59,669.38	3,15,28,857.55
(Face Value of Rs.10/- each unit, fully paid up)	10.0000	10.0000
Outstanding units at the beginning of the period	39,52,371.34	21,74,072.89
Add :Units issued during the period	56,21,634.71	22,95,584.61
Less: Units redeemed during the period	52,98,039.12	13,16,771.74
Outstanding Units at the end of the period	42,75,966.94	31,52,885.76

Schedule 2: Reserves and surplus

Particulars	Scheme E Tier II	
	30 th September 2019	28 th September 2018
Unit premium reserve		
Opening balance	59,41,028.97	15,32,539.62
Add: Premium on Units issued	1,03,61,720.78	71,96,170.07
Less: Premium on Units redeemed	99,17,688.86	39,91,068.88
Closing balance	63,85,060.89	47,37,640.81
Appropriation Account		
Surplus/(Deficit) Carried forward	28,09,276.73	3,88,497.08
Closing balance	28,09,276.73	3,88,497.08
Total	91,94,337.62	51,26,137.89

NATIONAL PENSION SYSTEM TRUST**NPS TRUST A/C****ADITYA BIRLA SUN LIFE PENSION MANAGEMENT COMPANY LIMITED - SCHEME E TIER II****SCHEDULES FORMING PART OF THE UN-AUDITED HALF YEARLY FINANCIAL STATEMENTS AS ON 30TH SEPTEMBER 2019****Schedule 3: Current liabilities and provisions**

Amount in ₹

Particulars	Scheme E Tier II	
	30 th September 2019	28 th September 2018
Current liabilities & Provisions		
Redemption Payable	69,437.00	75,872.73
Contracts for purchase of investments	186.75	-
NSDL & SEBI Payable	147.50	-
NPS charges payable	-820.86	396.20
Management fees payable	2,722.77	935.55
Custodial charges payable	447.85	228.74
Total	72,121.01	77,433.22

Schedule 4: Investments (Long Term and Short Term)

Particulars	Scheme E Tier II	
	30 th September 2019	28 th September 2018
Equity shares	5,05,71,412.60	3,61,10,982.30
Others - Mutual Fund Units	13,69,999.98	5,19,067.71
Total	5,19,41,412.58	3,66,30,050.01

Schedule 5: Deposits

Particulars	Scheme E Tier II	
	30 th September 2019	28 th September 2018
Deposits with scheduled banks	-	-
Total	-	-

Schedule 6: Other current assets

Particulars	Scheme E Tier II	
	30 th September 2019	28 th September 2018
Balances with banks in current account	-5,65,869.13	84,485.41
Brokerage receivable from PFM	7,215.26	159.99
Others - Dividend receivable	20,869.30	17,733.25
Total	84,715.43	1,02,378.65

NAME OF PENSION FUND
SCHEME NAME
MONTH

BIRLA SUN LIFE PENSION MANAGEMENT LIMITED
E-TIER II
Sep-19

Amount in ₹

Name of the Instrument	ISIN No.	Industry	Qty	Market Value	% of Portfolio	Ratings
ASIAN PAINTS LTD.	INE021A01026	Manufacture of paints and varnishes, enamels or lacquers	774.00	13,63,904.10	2.63%	
HINDUSTAN UNILEVER LIMITED	INE030A01027	Manufacture of soap all forms	1,204.00	23,86,267.80	4.59%	
KOTAK MAHINDRA BANK LIMITED	INE237A01028	Monetary intermediation of commercial banks, saving banks. postal savings	833.00	13,69,826.85	2.64%	
MARUTI SUZUKI INDIA LTD.	INE585B01010	Manufacture of passenger cars	198.00	13,29,728.40	2.56%	
RELIANCE INDUSTRIES LIMITED	INE002A01018	Manufacture of other petroleum n.e.c.	3,131.00	41,71,274.75	8.03%	
BHARTI AIRTEL LTD	INE397D01024	Activities of maintaining and operating pageing	3,613.00	13,26,151.65	2.55%	
GAIL (INDIA) LIMITED	INE129A01019	Distribution and sale of gaseous fuels through mains	7,730.00	10,39,685.00	2.00%	
HERO MOTOCORP LIMITED	INE158A01026	Manufacture of motorcycles, scooters, mopeds etc. and their	200.00	5,40,950.00	1.04%	
ICICI BANK LTD	INE090A01021	Monetary intermediation of commercial banks, saving banks. postal savings	7,147.00	30,99,653.90	5.97%	
LARSEN AND TOUBRO LIMITED	INE018A01030	Other civil engineering projects n.e.c.	1,840.00	27,12,620.00	5.22%	
MAHINDRA AND MAHINDRA LTD	INE101A01026	Manufacture of motor vehicles n.e.c.	2,390.00	13,07,688.50	2.52%	
PETRONET LNG LTD	INE347G01014	Distribution and sale of gaseous fuels through mains	3,884.00	10,09,451.60	1.94%	
SUN PHARMACEUTICALS INDUSTRIES LTD	INE044A01036	Manufacture of allopathic pharmaceutical preparations	2,408.00	9,37,795.60	1.81%	
HOUSING DEVELOPMENT FINANCE CORPORATION	INE001A01036	Activities of specialized institutions granting credit for house purchases	1,147.00	22,67,676.35	4.36%	
ITC LTD	INE154A01025	Manufacture of cigarettes, cigarette tobacco	10,133.00	26,33,060.05	5.07%	
STATE BANK OF INDIA	INE062A01020	Monetary intermediation of commercial banks, saving banks. postal savings	7,658.00	20,73,786.40	3.99%	
HDFC BANK LTD	INE040A01034	Monetary intermediation of commercial banks, saving banks. postal savings	3,710.00	45,53,839.50	8.77%	
INFOSYS LTD EQ	INE090A01021	Writing , modifying, testing of computer program	4,635.00	37,34,187.75	7.19%	
TECH MAHINDRA LIMITED	INE669C01036	Writing , modifying, testing of computer program	1,478.00	10,56,031.00	2.03%	
NTPC LIMITED	INE733E01010	Electric power generation by coal based thermal power plants	8,873.00	10,42,577.50	2.01%	
CIPLA LIMITED	INE059A01026	Manufacture of allopathic pharmaceutical preparations	1,660.00	7,06,330.00	1.36%	
IndusInd Bank Limited	INE095A01012	Monetary intermediation of commercial banks, saving banks. postal savings	725.00	10,03,073.75	1.93%	
NESTLE INDIA LTD	INE239A01016	Manufacture of milk-powder, ice-cream powder and condensed milk except	80.00	11,11,172.00	2.14%	
AXIS BANK	INE238A01034	Monetary intermediation of commercial banks, saving banks. postal savings	2,770.00	18,97,450.00	3.65%	
TATA CONSULTANCY SERVICES LIMITED	INE467B01029	Writing , modifying, testing of computer program	669.00	14,04,431.70	2.70%	
UltraTech Cement Limited	INE481G01011	Manufacture of clinkers and cement	365.00	15,84,556.25	3.05%	
Dr. Reddy's Laboratories Limited	INE089A01023	Manufacture of allopathic pharmaceutical preparations	200.00	5,40,450.00	1.04%	
Exide Industries Limited	INE302A01020	Manufacture of primary cells and primary batteries nd rechargeable batteries	4,345.00	8,48,144.00	1.63%	
Titan Company Limited	INE280A01028	Manufacture of jewellery of gold, silver and other precious or base metal	450.00	5,72,872.50	1.10%	
Bajaj Finance Limited	INE296A01024	Other financial service activities, except insurance and pension funding activities	234.00	9,46,775.70	1.82%	
Total				5,05,71,412.60	97.34%	

Money Market Instruments:-		Market Value	% of Portfolio	Ratings
- Treasury Bills		Nil	-	
- Money Market Mutual Funds		13,69,999.98	2.64%	
- Certificate of Deposits / Commercial Papers		Nil	-	
- Application Pending Allotment		Nil	-	
- Bank Fixed Deposits (< 1 Year)		Nil	-	
- Cash/ Cash Equivalent & Net Current Assets		84,532.85	0.16%	
- Other Current Assets		-71,938.43	-0.14%	
GRAND TOTAL		5,19,54,007.00	100.00%	

Average Maturity of Portfolio (in yrs)	-
Modified Duration (in yrs)	-
Yield to Maturity (%) (annualised)(at market price)	-

CREDIT RATING EXPOSURE					
Securities			Market Value	% of Portfolio	Ratings
Central Govt. Securities					
State Development Loans					
AAA / Equivalent				-	
A1+ (For Commercial Paper)				-	
AA+ / Equivalent				-	
AA / Equivalent					
AA- / Equivalent					
A+ / Equivalent					
A / Equivalent					
A- / Equivalent					
BBB+ / Equivalent					
BBB / Equivalent					